

1 Sea-Ice Reemergence in a Model Hierarchy

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2 Lagged correlation analysis of Arctic sea-ice area reveals that spring sea-
3 ice anomalies tend to recur the following fall, and fall anomalies tend to re-
4 cur the following spring. In this work, a climate-model hierarchy is used to
5 investigate the relative role of the atmosphere and the ocean in driving this
6 phenomenon, termed sea-ice reemergence. Coupled data analysis of sea-ice
7 concentration (SIC), sea-surface temperature (SST), and sea-level pressure
8 (SLP) is performed, and families of modes that capture reemergence are con-
9 structed. In models with ocean-to-atmosphere coupling, these “reemergence
10 families” display a pan-Arctic scale organization of SIC anomalies, related
11 to SLP teleconnection patterns. The ocean is found to provide the key source
12 of memory for reemergence, as an SST-based reemergence mechanism can
13 operate as a stand-alone process, while an SLP-based mechanism cannot. Dy-
14 namical feedback from the ocean to the atmosphere is found to be essential
15 in creating large-scale organized patterns of SIC–SLP co-variability.

1. Introduction

16 Satellite observations since 1979 have documented rapid changes in Arctic sea ice,
17 spurring increased focus on Arctic prediction and predictability [eg; *Stroeve et al.*, 2014;
18 *Tietsche et al.*, 2014; *Guemas et al.*, 2014]. A key element of this effort is the identifi-
19 cation of physically-based mechanisms for sea-ice predictability. Sea-ice reemergence, a
20 phenomenon in which sea-ice area anomalies tend to recur at time lags of 5–12 months,
21 is an example of one such mechanism, originally identified by *Blanchard-Wrigglesworth*
22 *et al.* [2011]. Sea-ice reemergence is observed in two main forms: (1) a spring-to-fall
23 reemergence, related to the imprinting and summer persistence of sea-surface temper-
24 ature (SST) anomalies in the seasonal ice zones, and (2) a fall-to-spring reemergence,
25 related to winter persistence of sea-ice thickness (SIT) anomalies in the central Arctic
26 [*Blanchard-Wrigglesworth et al.*, 2011; *Holland et al.*, 2013; *Day et al.*, 2014; *Bushuk*
27 *et al.*, 2014, 2015].

28 The study of *Bushuk et al.* [2015] also identified an atmospheric role in spring-to-fall
29 reemergence, relating reemerging sea-ice concentration (SIC) patterns to pan-Arctic scale
30 sea-level pressure (SLP) teleconnection patterns. These patterns closely resemble the
31 Arctic Dipole Anomaly [DA, *Wu et al.*, 2006] and Arctic Oscillation [AO, *Thompson and*
32 *Wallace*, 1998] patterns of SLP variability. This study also corroborated earlier findings
33 on the SST–SIC spring-to-fall reemergence mechanism, and suggested a possible SLP–SIC
34 mechanism, in which SIC anomalies reemerge due to winter-to-winter regime persistence
35 of large-scale atmospheric circulation patterns. *Bushuk et al.* [2015] did not quantify the
36 relative importance and possible inter-dependence of these two mechanisms. In the present

work, we explore a model hierarchy to gain insight into the relative roles of the ocean and the atmosphere in producing sea-ice reemergence. Our main finding is that the SST–SIC mechanism can exist as a stand-alone process, while the SLP–SIC mechanism cannot. Nevertheless, the atmosphere is found to play a crucial role in setting SIC patterns of reemergence, particularly in models that have full coupling between the atmosphere and the ocean.

Sea-ice reemergence requires two elements: (1) a source of sea-ice variability to create initial sea-ice anomalies and (2) a source of memory, which allows these anomalies to reemerge at some time in the future. Reemergence has been studied in observations and comprehensive climate models, both of which involve full-physics and fully-coupled ocean, atmosphere, and sea-ice components. In this study, we analyze a hierarchy of models using the Community Climate System Model version 4 [CCSM4; *Gent et al.*, 2011], designed to probe different aspects of oceanic and atmospheric variability and memory. Summarized in Figure 1, these models consist of a fully-coupled control run, a slab ocean model (SOM) which has reduced oceanic memory, and two coordinated ocean-ice reference experiments (COREs) which have active sea-ice–ocean components forced by a specified atmosphere and lack ocean-to-atmosphere coupling. Using this model hierarchy, we perform a cross-model comparison with particular focus on: (1) the pan-Arctic, regional, and temporal aspects of sea-ice reemergence; (2) the relationship between sea-ice reemergence and SLP teleconnections; and (3) the representation of SST–SIC and SLP–SIC reemergence mechanisms.

2. Model Hierarchy and Methods

2.1. CCSM4 Model Hierarchy

58 We examine a hierarchy of global climate model (GCM) experiments from CCSM4,
59 summarized in Figure 1. The fully-coupled CCSM4 successfully simulates many aspects
60 of Arctic climate, including the SIT distribution and SIC field [*Jahn et al.*, 2012]. CCSM4
61 has known Arctic SLP biases, particularly a Beaufort high which is too weak and an SLP
62 field that is generally biased low relative to reanalysis data [*de Boer et al.*, 2012]. The
63 CCSM4 control run (b40.1850.track1.1deg.006) is 1300 years long, is forced with 1850
64 greenhouse gas levels, and has a grid of 1° nominal resolution for the ocean, sea-ice, and
65 atmosphere components.

66 The SOM is the “CCSM4-NEWSOM”, as described in *Bitz et al.* [2012]. The SOM
67 has full atmosphere and sea-ice components, a mixed-layer ocean, and is forced with 1850
68 greenhouse gas levels. The mixed-layer depth, computed from an ocean general circulation
69 model (OGCM) control run, is spatially-varying but fixed in time. The SOM also includes
70 a “Q-flux” term, which accounts for changes to mixed-layer heat content due to ocean
71 heat transport convergence. The Q-flux term, computed offline using the OGCM control
72 run, is spatially-varying and has a seasonal cycle. The SOM run is 60 years long and
73 shares the same grid as the control run.

74 The CORE runs have identical ice-ocean components to the control run, and are forced
75 using the atmospheric data product developed in *Large and Yeager* [2004] and subse-
76 quently updated in *Large and Yeager* [2009]. We analyze CCSM4 ice-ocean runs that
77 are forced with phase I [CORE-I; *Griffies et al.*, 2009] and phase II [CORE-II; *Danaba-*
78 *soglu et al.*, 2014] of the CORE forcing. The 950-yr CORE-I run is forced by normal

79 year forcing (NYF) version 2 [*Large and Yeager, 2009*], which is a repeated climatological
80 mean annual cycle of atmospheric state variables and fluxes. The CORE-II run is forced
81 by interannually varying forcing (IAF) version 2 [*Large and Yeager, 2009*], which is an
82 estimate of the atmospheric state over the 60 year period from 1948–2007. Both CORE-I
83 and CORE-II atmospheric fields are defined on a T62 grid (1.875° resolution). In order
84 to focus on internal variability, we detrend the CORE-II data by subtracting the monthly
85 linear trend from each month.

86 We also compare CCSM4 results to 35-years of SIC satellite observations from the
87 Met Office Hadley Center Sea Ice and Sea Surface Temperature [HadISST; *Rayner et al.,*
88 2003] dataset. As with the CORE-II run, we detrend the HadISST data by subtracting
89 monthly linear trends. All data is monthly averaged and the seasonal cycle is not removed.
90 Retaining the seasonal cycle is crucial for our analysis of reemergence using nonlinear
91 Laplacian spectral analysis (NLSA) modes, ahead.

2.2. Data Analysis Methods

92 In this work we utilize coupled NLSA, a unit-independent data analysis algorithm
93 that extracts spatiotemporal modes of variability in multivariate datasets [*Bushuk et al.,*
94 2014, 2015]. Coupled NLSA is a multivariate extension of the original NLSA algorithm,
95 which is a nonlinear data analysis technique designed to identify intrinsic timescales and
96 spatiotemporal patterns in dynamical systems [*Giannakis and Majda, 2012a, b, 2013*].
97 Here, we follow the approach of *Bushuk et al. [2015]* and study the co-variability of SIC,
98 SST, and SLP in the CCSM4 model hierarchy. For each model, we recover sets of tempo-
99 ral and spatiotemporal modes, and use these modes to investigate the representation of

100 sea-ice reemergence. We refer the reader to *Bushuk et al.* [2015] and the supplementary
101 text S1 for more details on the coupled NLSA methodology and implementation.

102 Coupled NLSA captures periodic modes, which represent the seasonal cycle, low-
103 frequency modes, which capture interannual-to-decadal variability, and intermittent
104 modes, which reflect the interaction of this periodic and low-frequency variability, in both
105 space and time. Following *Bushuk et al.* [2015], reemergence mode families are constructed
106 as the minimal set of modes able to qualitatively reproduce the reemergence signal of the
107 raw SIC data. For each model, we identify a five-mode reemergence family, consisting of a
108 low-frequency mode, and degenerate pairs of annual and semiannual intermittent modes.

3. Results

3.1. Sea-Ice Reemergence in CCSM4

109 We begin with a comparison of the regional sea-ice reemergence characteristics in the
110 CCSM4 model runs and HadISST observations, shown in Figure 2. We assess sea-ice
111 reemergence by computing time-lagged pattern correlations of the raw SIC anomaly field
112 via the methodology of *Bushuk et al.* [2014, 2015]. For each initial month (from Jan–Dec)
113 and lag (from 0–23 months), we report the time-mean pattern correlation, taken over all
114 (month, month+lag) pairs in the SIC time series.

115 Over a pan-Arctic domain (0° – 360° and 45° N– 90° N), we find that the control and
116 CORE-II experiments closely match the HadISST reemergence signal. Each of these
117 displays a clear spring-to-fall reemergence, with spring SIC anomalies positively correlated
118 with fall anomalies, despite a loss of correlation over the intervening summer months.
119 The fall-to-spring reemergence is quite weak in each of these experiments. Note that

120 if one performs time-lagged total area correlations via the methodology of *Blanchard-*
121 *Wrigglesworth et al.* [2011], the fall-to-spring reemergence is more prominent, yet still
122 significantly weaker than the spring-to-fall reemergence.

123 Consistent with earlier CCSM3 findings [*Blanchard-Wrigglesworth et al.*, 2011], the
124 SOM spring-to-fall reemergence signal is significantly weaker than the control run. This
125 suggests the crucial importance of a full-depth ocean in obtaining a realistic representation
126 of spring-to-fall reemergence. Ahead, we will argue that the fall-to-spring reemergence is
127 not as severely affected in the SOM.

128 The CORE-I run exhibits substantial sea-ice persistence and an unrealistically strong
129 reemergence signal, likely due to the absence of atmospheric variability in this model.
130 This suggests that internal ocean variability alone is insufficient to produce a realistic
131 reemergence signal. Also, the SIC variability of CORE-I dramatically underestimates
132 that of observations. The ratio of area-integrated variance in CORE-I vs HadISST is
133 0.01. As a comparison, the ratios are 0.72, 0.53, and 0.56 for the control, CORE-II, and
134 SOM runs, respectively. This indicates that a reasonable representation of atmospheric
135 variability is essential to producing reasonable sea-ice variability and reemergence.

136 Next, we examine the regional reemergence signals in the Bering (165°E–160°W and
137 55°N–65°N), Barents-Kara (30°E–90°E and 65°N–80°N), and Labrador (70°W–40°W and
138 45°N–80°N) seas. The CORE-I reemergence signal is too strong in all regions, relative to
139 observations. The SOM reemergence signals are consistently weaker than the control run,
140 and are slightly enhanced in the Bering Sea.

141 We find that the CORE-II run is a better match with observations than the control.
142 Specifically, matching observations, CORE-II has a weak reemergence signal in the Bering
143 Sea and Sea of Okhotsk (not shown), whereas the control has strong reemergence signals
144 in these regions. CORE-II qualitatively agrees with observations in all regions, except
145 the Labrador Sea/Baffin Bay region, where it has a weak reemergence signal. This weak
146 reemergence signal in the Labrador Sea/Baffin Bay is a robust feature across all CCSM3
147 and CCSM4 runs that we have analyzed, likely related to the challenges of accurately
148 modeling deep ocean convection in the Labrador sea [*Danabasoglu et al.*, 2012]. Interest-
149 ingly, *Blanchard-Wrigglesworth and Bitz* [2014] note strong SIT biases in the CORE-II
150 run. Despite these biases in SIT, the CORE-II SIC reemergence signal is very realistic.

151 Next, informed by the NLSA reemergence families, we investigate the temporal variabil-
152 ity of sea-ice reemergence across these CCSM4 models. We compute time-lagged pattern
153 correlations of the raw SIC data, both for the full timeseries, and conditional on times in
154 which the low-frequency SIC mode (L_1^{SIC}) of each reemergence family is active. In all
155 three models, we find that the conditional correlations display enhanced spring-to-fall and
156 fall-to-spring reemergence (see Figure 3). This indicates substantial temporal variability
157 in the strength of reemergence events across all three models. This also demonstrates
158 that the low-frequency NLSA modes are effective predictors of these periods of enhanced
159 reemergence.

160 In the SOM, the conditional correlations show a fall-to-spring reemergence of similar
161 strength to the control and CORE-II models, but a significantly weaker spring-to-fall
162 reemergence. The fall-to-spring reemergence occurs in regions of the central Arctic that

163 are fully ice-covered during winter, where SSTs are unable to retain the memory of earlier
164 SIC anomalies. Since the ocean does not participate in the fall-to-spring reemergence
165 mechanism involving persistence of SIT anomalies, one would expect that the simplified
166 ocean of the SOM would not impact the representation of this mechanism. Conversely,
167 the spring-to-fall reemergence mechanism depends crucially on ocean heat storage below
168 the mixed layer [*Holland et al.*, 2013]. Therefore one would expect decreased fidelity of
169 this mechanism in the SOM. The conditional lagged correlations of Figure 3 are consistent
170 with both of these expectations.

3.2. Reemergence Mechanisms and SLP–SIC Teleconnections

171 We now examine the spatiotemporal evolution of the NLSA reemergence families, with
172 a particular focus on winter SIC–SLP teleconnections. Figure 4 shows winter means
173 (January–March) of the reconstructed SIC, SST, and SLP fields of each reemergence fam-
174 ily. These patterns are composites, computed over all times in which L_1^{SIC} of each family
175 is active, in positive phase. The negative phase composites are similar, with opposite sign.
176 Movie S1 shows the monthly evolution of these fields.

177 The winter SIC patterns are quite similar between the control and SOM runs, with
178 an SIC dipole pattern between the Bering and Barents-Greenland-Iceland-Norwegian
179 (Barents-GIN) Seas. The SIC pattern of CORE-II is dominated by anomalies in the
180 Barents-GIN Seas, and lacks the North Atlantic–North Pacific dipole that characterizes
181 the control and SOM. It should also be noted that despite being forced by a realistic at-
182 mosphere, the CORE-II SIC pattern differs substantially from the leading observational
183 SIC mode, whether this mode is derived via EOF analysis [*Deser et al.*, 2000] or via NLSA

184 [*Bushuk et al.*, 2015]. The SST patterns of each family have opposite sign to the local
185 SIC anomalies, and generally reflect the spring-to-fall SST–SIC reemergence mechanism
186 (see Movie S1). One exception to this is the Barents region of the SOM, which does not
187 display the summer imprinting of SST anomalies seen in the Bering Sea of the SOM and
188 in the other models. A possible reason for this is the shallow depth of the Bering Sea,
189 meaning the mixed layer ocean is a reasonable approximation to the true ocean dynamics
190 of this region, and therefore provides a reasonable representation of the SST–SIC mecha-
191 nism. Conversely, the Barents-GIN seas are deeper, and are likely poorly represented by
192 the SOM.

193 The SLP patterns of each reemergence family provide a physical explanation for the
194 inter-model differences in winter SIC. The SOM and control run have somewhat different
195 SLP patterns, but share a key common feature: a transpolar advective pathway defined
196 via geostrophic winds. This pathway creates communication between the North Atlantic
197 and North Pacific basins, providing an SLP–SIC teleconnection between these discon-
198 nected regions. The geostrophic winds of these SLP patterns, and their associated surface
199 air temperature advection, tend to create SIC anomalies of opposite sign in the Bering
200 and Barents-GIN Seas. In contrast, the CORE-II run does not exhibit this transpolar
201 advective pathway, and, correspondingly, does not display a North Atlantic–North Pacific
202 teleconnection.

203 To examine this winter SLP–SIC interaction more precisely, we next consider the re-
204 lationship between meridional wind and SIC in the Bering, GIN, and Barents-Kara Seas
205 (see Figure 5). Using the reemergence families, we create indices for these regions based

206 on spatial-mean meridional winds and spatial-mean SIC anomalies, and normalize these
207 indices by the maximum standard deviation over the three regions. In regions where there
208 is strong SLP–SIC co-variability, we expect a negative correlation between these indices,
209 since positive meridional winds create negative SIC anomalies, and vice versa. The control
210 run shows this negative correlation clearly in the Bering, GIN, and Barents-Kara Seas,
211 all regions of significant SIC variability in this model. Similarly, the SOM shows negative
212 correlations in the Bering and GIN seas, which dominate the winter SIC variability of
213 this model, and no relationship in the Barents-Kara Seas, which have little winter SIC
214 variability. CORE-II shows a clear negative relationship in the Barents-Kara Seas, a weak
215 positive relationship in the GIN Seas, and a low-variance SIC signal in the Bering Sea.
216 The SLP–SIC relationships in CORE-II are weaker than the other models, as they can
217 explain the Barents-Kara anomalies, but not the GIN anomalies.

218 A necessary condition for an SIC–SLP teleconnection is a clear negative correlation
219 between mean meridional wind and mean SIC in at least one region of both the North
220 Atlantic and North Pacific. The control and the SOM clearly satisfy this necessary condi-
221 tion, but CORE-II does not. Why is this the case? A key difference between these three
222 models is the lack of ocean-to-atmosphere coupling in CORE-II (see Figure 1). In particu-
223 lar, CORE-II ocean heat anomalies are unable to feedback on the atmosphere and modify
224 the atmospheric state. These results suggest that this ocean-to-atmosphere coupling is
225 essential in creating coherent pan-Arctic-scale co-variability of SIC and SLP.

226 Movie S1 shows that the reemergence families of the control and SOM display the
227 previously mentioned SLP–SIC reemergence mechanism, due to their winter-to-winter

228 SLP regime persistence. This SLP–SIC mechanism is not well represented in the CORE-
229 II run, as the SLP patterns are quite transient in space (Movie S1) and do not correlate
230 as clearly with SIC anomalies (Figure 5). Conversely, the CORE-II and control runs
231 display the SST–SIC reemergence mechanism, whereas this mechanism is not as robustly
232 represented in the SOM. Given CORE-II’s stronger and more realistic reemergence signal
233 compared with the SOM, this suggests that the SST–SIC mechanism can operate as a
234 stand-alone reemergence mechanism. In contrast, the SLP–SIC mechanism cannot operate
235 as a stand-alone process, in the sense that it crucially depends on the full-depth dynamics
236 and persistence of the ocean. This suggests that oceanic persistence is the key source of
237 memory for sea-ice reemergence. However, this does not preclude an atmospheric role
238 in reemergence. Given the observed pan-Arctic scale organization of SIC anomalies in
239 the control and SOM, the atmosphere is the most likely driver of this variability, as
240 oceanic variability does not provide a direct method of communication between different
241 ocean basins. In the runs with ocean-to-atmosphere coupling, the atmosphere provides
242 an important dynamical linkage, setting the spatial patterns of SIC reemergence.

4. Conclusions

243 We have assessed the representation of sea-ice reemergence and associated SST and
244 SLP-based mechanisms in a hierarchy of CCSM4 models. The primary conclusions of this
245 study are:

- 246 1. There is good quantitative agreement of pan-Arctic reemergence between observa-
247 tions, the control, and CORE-II. On regional scales, CORE-II matches the reemergence
248 signal of observations better than the control.

249 2. Relative to observations, the reemergence signals of the SOM and CORE-I are too
250 weak and too strong, respectively. The weak SOM reemergence signal indicates the cru-
251 cial role of ocean heat anomalies stored below the mixed layer in providing memory for
252 reemergence. The unrealistically strong reemergence in CORE-I indicates the necessity
253 of atmospheric variability in providing a realistic representation of reemergence.

254 3. The control, CORE-II and SOM all exhibit substantial temporal variability in the
255 strength of reemergence events. The low-frequency SIC modes of the NLSA reemergence
256 families are effective predictors of periods of enhanced reemergence activity.

257 4. The SIC patterns of the reemergence families of the control and SOM runs exhibit a
258 winter sea-ice teleconnection between the Bering and Barents-GIN Seas. The SLP patterns
259 of the families are physically consistent with the SIC patterns, and allow communication
260 between the North Pacific and North Atlantic sectors via a transpolar advective pathway.
261 The CORE-II winter SIC pattern is dominated by anomalies in the Barents-GIN Seas,
262 and does not exhibit this teleconnection. This suggests that dynamical feedback from the
263 ocean to the atmosphere is essential in creating large-scale organized patterns of SIC–SLP
264 co-variability.

265 5. The control run exhibits both the SST–SIC and the SLP–SIC reemergence mecha-
266 nisms. The representation of the SST–SIC and SLP–SIC mechanism is degraded in the
267 SOM and CORE-II runs, respectively. CORE-II has a more realistic reemergence signal
268 than the SOM, suggesting that the SST–SIC mechanism is able to operate as a stand-
269 alone mechanism. In models with ocean-to-atmosphere coupling, atmospheric variability
270 plays a key role in reemergence, setting the spatial patterns of SIC reemergence.

271 In this study, we have attempted to gain insight into the coupled nature of sea-ice
272 reemergence, by exploring models with active sea-ice components, but different physics
273 and coupling of the atmosphere and the ocean. Because of the nonlinear, coupled dy-
274 namics of the atmosphere-ocean-ice system it is challenging to properly address notions
275 of causality in this framework. Additional work, involving idealized model experiments
276 and analysis of other GCMs is required to further test the conclusions presented in this
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289 loaded from <http://data1.gfdl.noaa.gov/nomads/forms/core/COREv2.html>, which is
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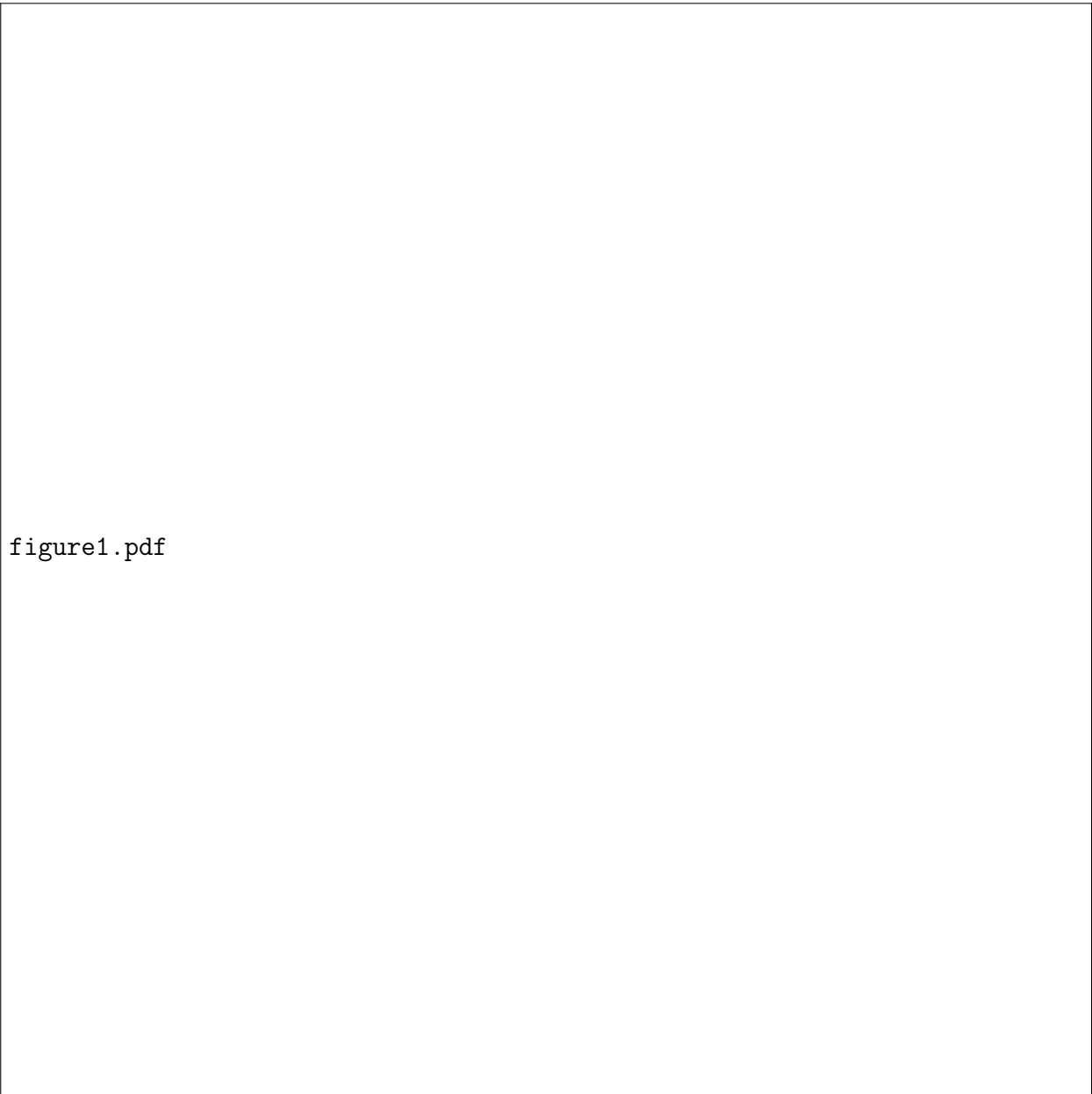


figure1.pdf

Figure 1. Schematic of the different CCSM4 runs analyzed in this study. Arrows indicate coupling between different components of the atmosphere–ocean–sea-ice system.

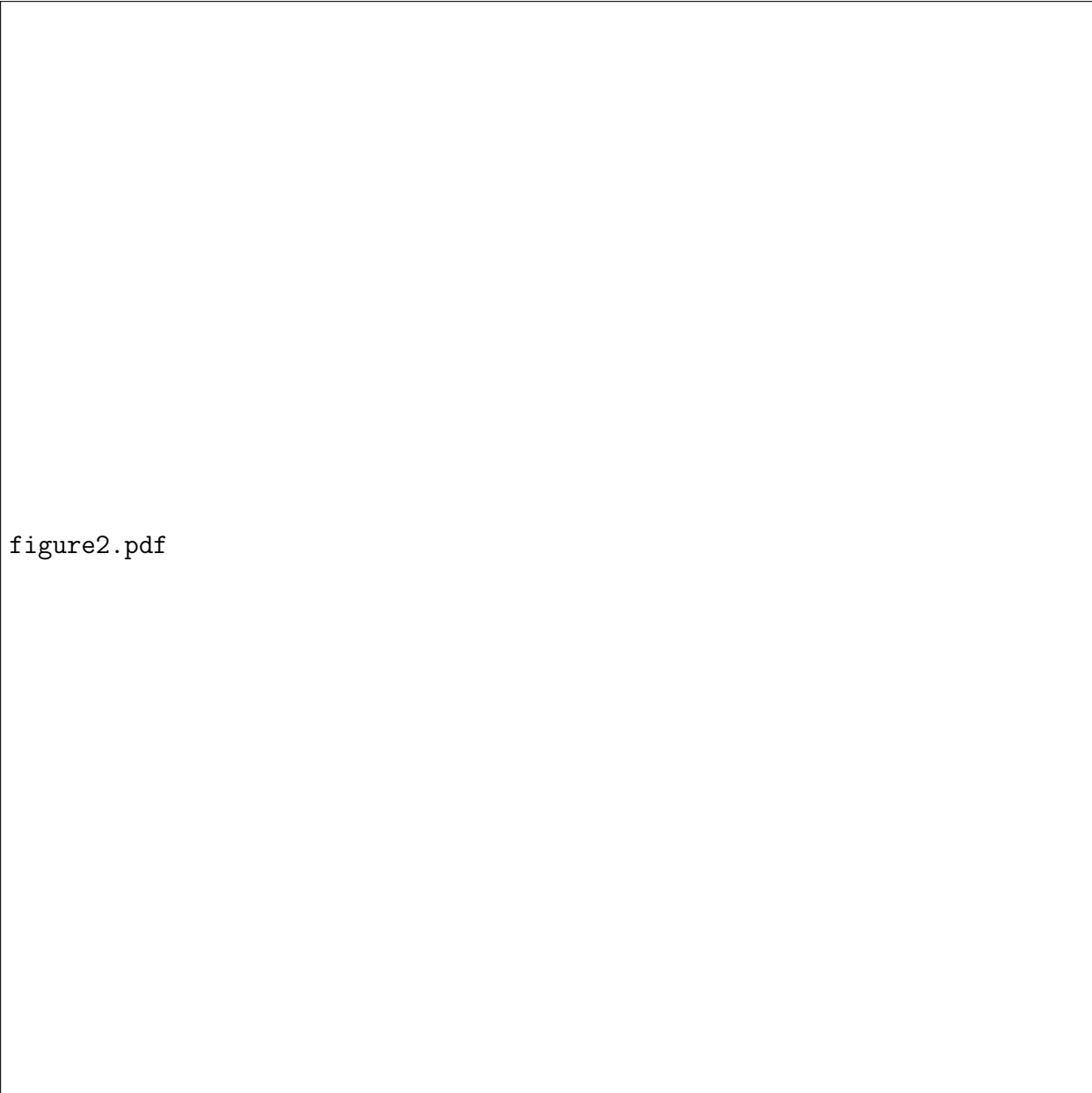


figure2.pdf

Figure 2. Time-lagged pattern correlations of SIC anomalies, computed for HadISST observations and various CCSM4 model runs, over different regions of the Arctic. All colored boxes are significant at the 95% level, based on a t test.




figure3.pdf

Figure 3. Time-lagged pattern correlations for different CCSM4 model runs, computed for the raw SIC anomaly data (left column) and conditional on the L_1^{SIC} mode of each reemergence family being active (right column). We condition on $|L_1^{\text{SIC}}| > 2$ for the control run and $|L_1^{\text{SIC}}| > 1.5$ for the CORE-II and SOM runs. All colored boxes are significant at the 95% level, based on a t test.

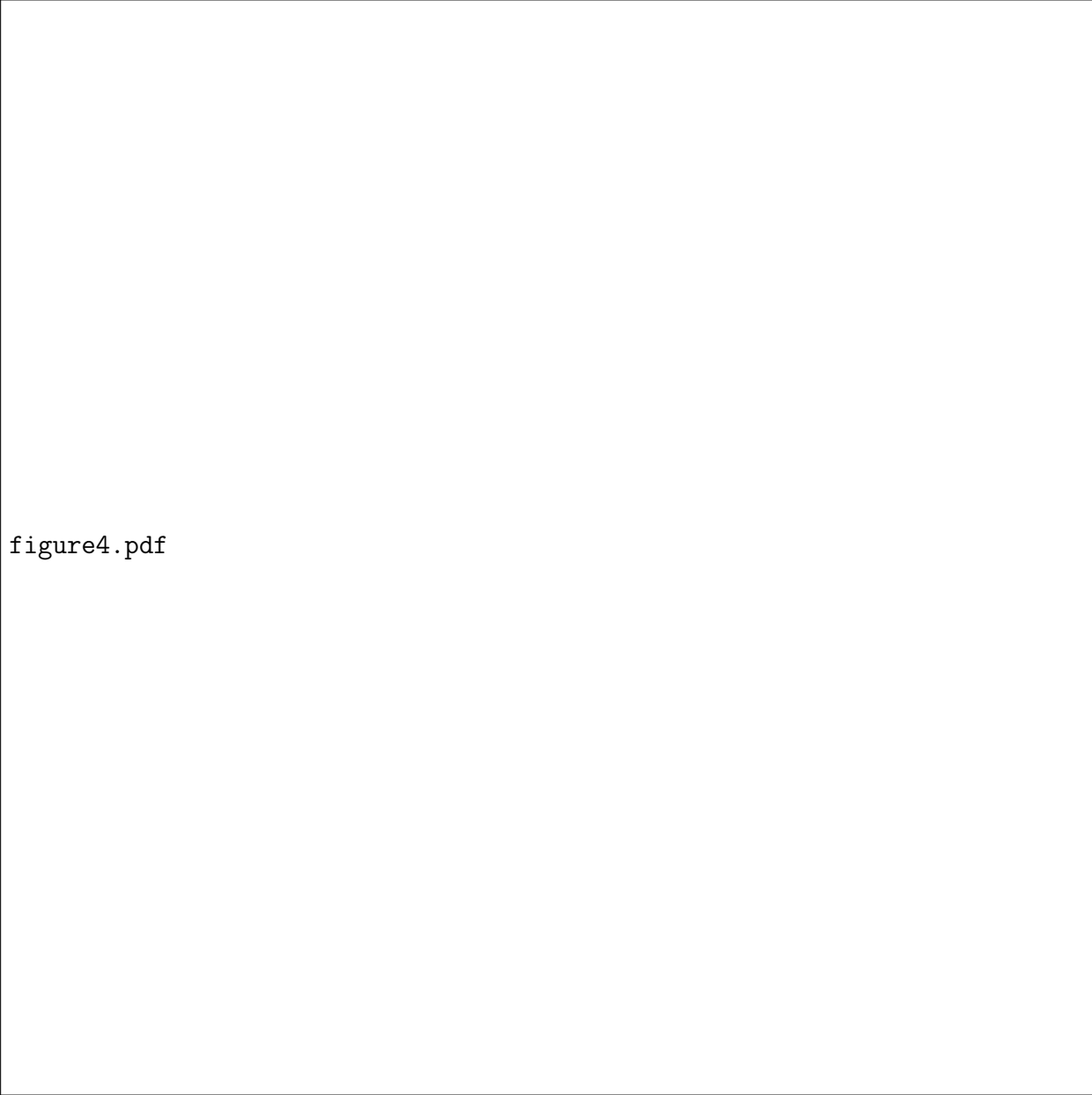


figure4.pdf

Figure 4. Winter mean (Jan–Mar) composites of SIC, SST, and SLP shown for reemergence families of the control, CORE-II, and SOM. The composites are computed over all times in which L_1^{SIC} of each family is active, in positive phase.

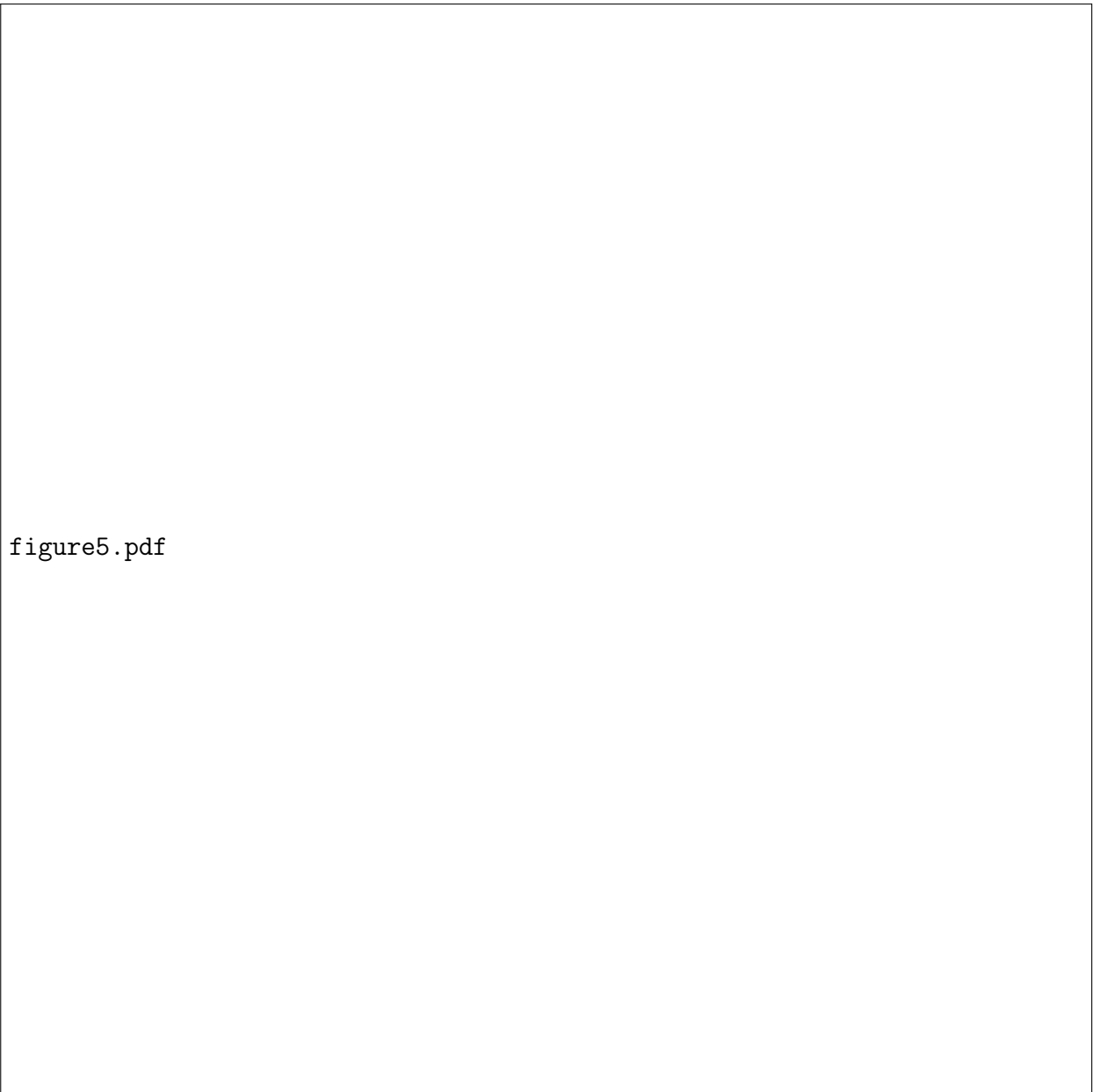
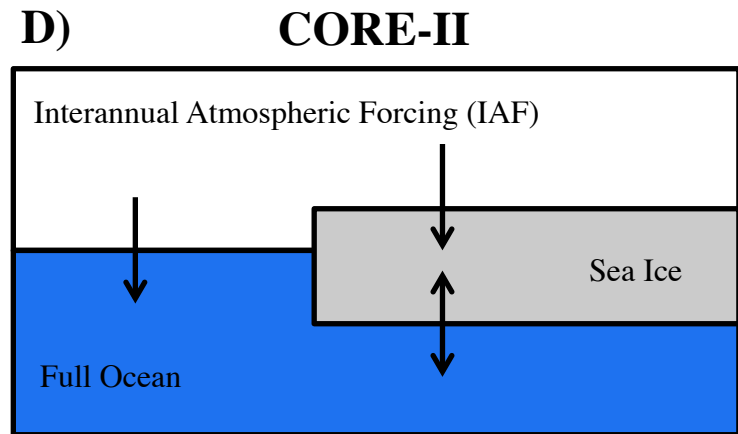
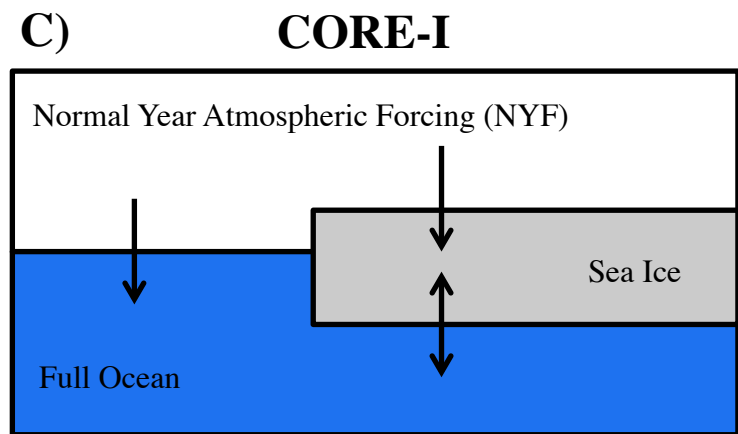
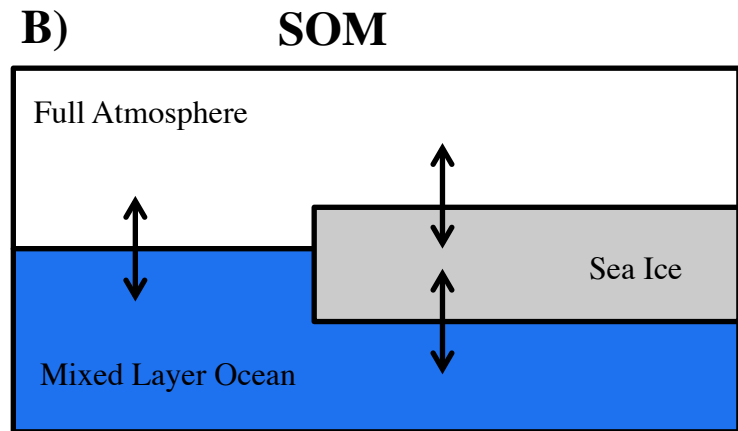
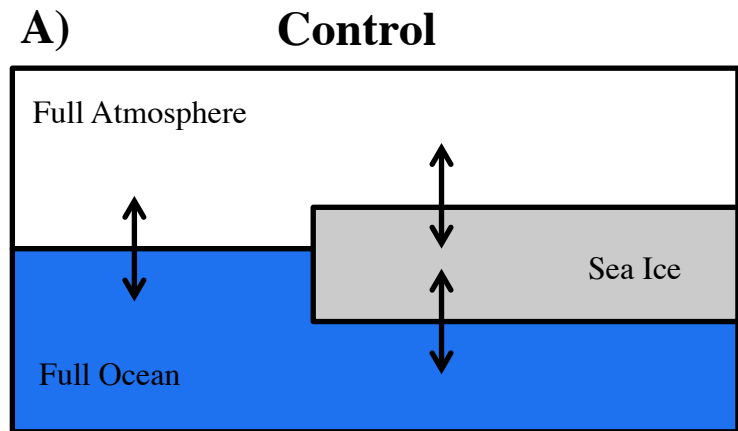
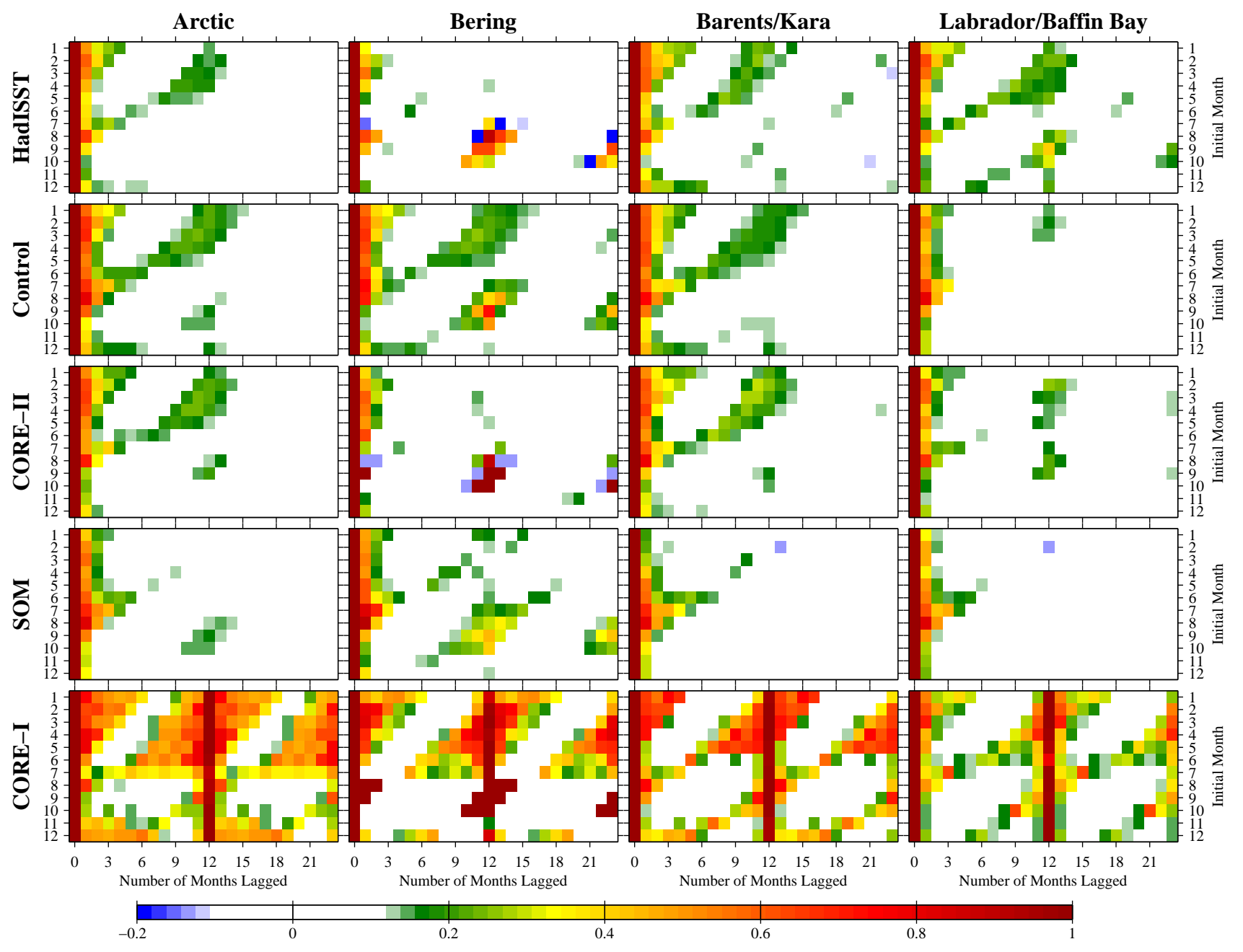
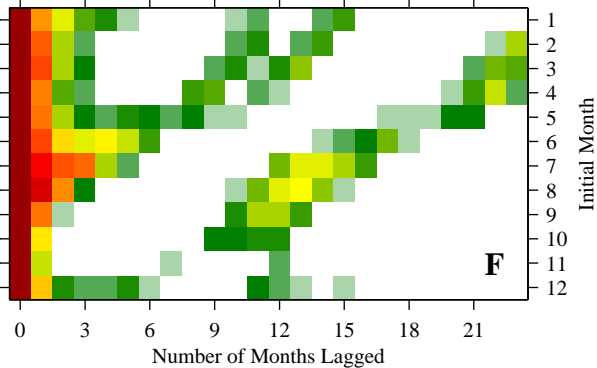
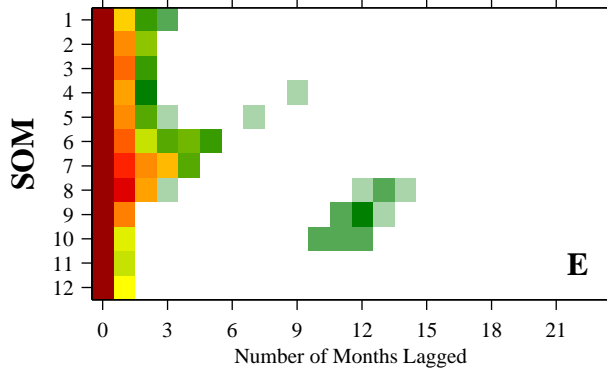
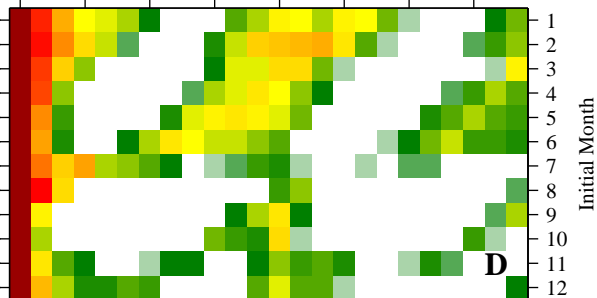
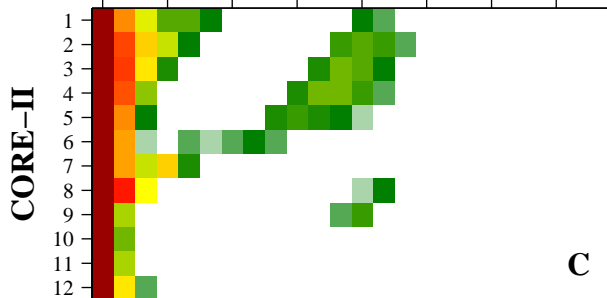
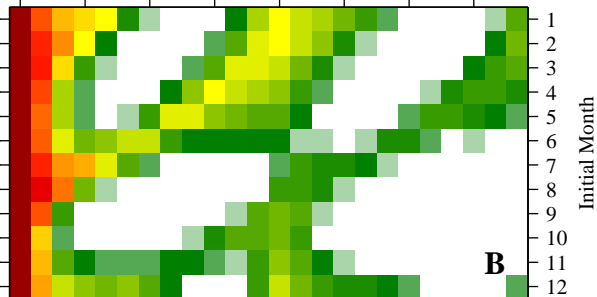
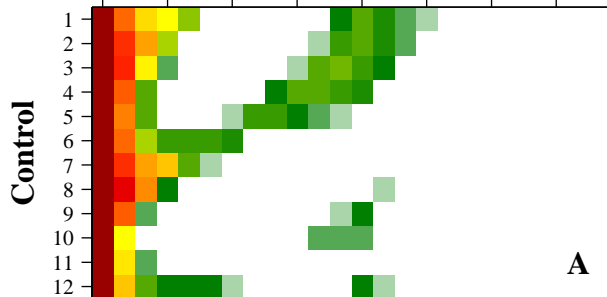
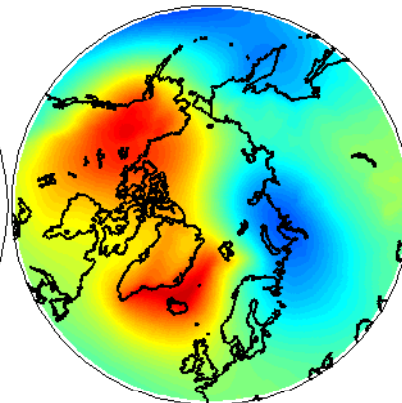
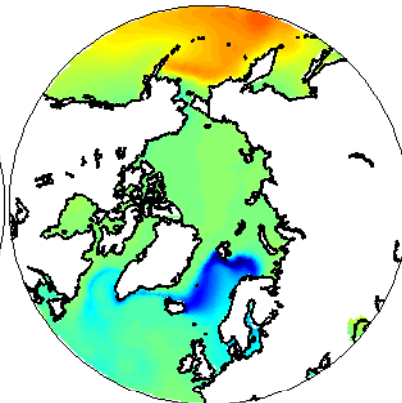
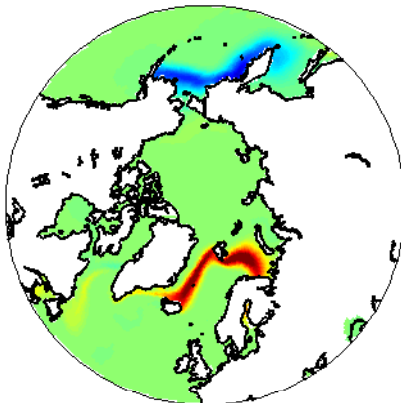
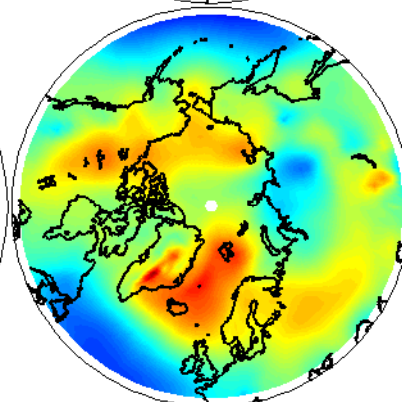
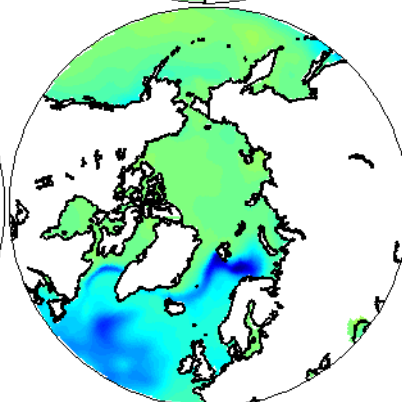
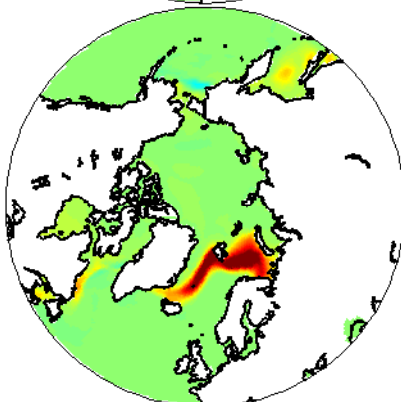


Figure 5. Scatterplots of standardized mean SIC vs mean meridional wind for the control, CORE-II, and SOM. These values are computed over winter months (Jan–March) in the Bering, GIN, and Barents-Kara Seas.





Raw Data **L_1 Active**

JFM SIC**JFM SST****JFM SLP****Control****CORE-II****SOM**