

In general case,  $g(x) = \sum_{T \in \mathbb{F}} \hat{f}(TUS) \chi_T$ .

5. For  $a_1, a_2, a_3 \in \{-1, 1\}$ ,  $NAE(a_1, a_2, a_3) = \frac{3}{4} - \frac{1}{4}a_1a_2 - \frac{1}{4}a_2a_3 - \frac{1}{4}a_1a_3$ .

Hence,  $\Pr[NAE \text{ accepts } f] = \frac{3}{4} - \frac{1}{4}E[f(x)f(y)] - \frac{1}{4}E[f(y)f(z)] - \frac{1}{4}E[f(x)f(z)]$  where for each  $i$ ,

$(x_i, y_i, z_i)$  is chosen uniformly from  $\{001, 010, 100, 011, 101, 110\}$ . By symmetry,

$$= \frac{3}{4} - \frac{3}{4}E[f(x)f(y)] \text{ where for each } i \text{ } (x_i, y_i) \text{ is chosen according to } \begin{Bmatrix} 00 & 1/6 \\ 01 & 1/6 \\ 10 & 1/6 \\ 11 & 1/6 \end{Bmatrix}$$

Equivalently, we choose  $x$  uniformly and choose  $y = x + w$  where  $w \sim \mu_{2,3} \Rightarrow = \frac{3}{4} - \frac{3}{4} \langle f, T_{1,3} f \rangle$ .

$$= \frac{3}{4} - \frac{3}{4} \sum_s \left(-\frac{1}{3}\right)^{|S|} \hat{f}(s)^2$$

3a. Estimate  $\hat{f}(s)$  to within  $\pm \epsilon$  with conf. of  $1 - \delta$ .  $\hat{f}(s) = E[f(x)\chi_s(x)]$ . By Chernoff,

number of samples is  $O(\log 1/\delta / \epsilon^2)$ .

b.  $\sum_{s \in \mathbb{F}^n} \hat{f}(s)^2 = E_x[f(x)^2]$ , so again Chernoff.

$$7. I(f) = \sum_s |s| \hat{f}(s)^2, \quad 4 \cdot \Pr[f=1] \cdot \Pr[f=-1] = 4 \cdot \frac{1}{2}(1 + \hat{f}(\phi)) \cdot \frac{1}{2}(1 - \hat{f}(\phi)) = 1 - \hat{f}(\phi)^2$$

So the inequality is equiv. to  $\hat{f}(\phi)^2 + \sum_{s \neq \phi} |s| \hat{f}(s)^2 \geq 1$   
 $\geq \sum_s \hat{f}(s)^2 = 1$

If we color the Hamming cube with two colors in a balanced way, then # of non-monochromatic

edges is  $\geq 2^{n-1}$ . In general  $E(V', V-V') \geq \frac{4|V'| \cdot |V-V'|}{2 \cdot 2^n}$ .

21.2.2008 Conj:  $\forall \epsilon, \delta > 0, \exists k$  s.t. it is NP-hard given a label cover with unique constraints to decide whether value  $\geq 1 - \epsilon$  or value  $\leq \delta$ .

### Unique Games Hardness from any Tester

Thm: Suppose we have a tester  $T$  for  $f: \{0,1\}^k \rightarrow [-1,1]$  and  $\epsilon, \delta, C, S \geq 0$  with  $q$  queries

s.t. 1. All  $k$  dictatorships pass w.p.  $\geq C$

2. If  $f$  is  $(\epsilon, \delta)$ -quasirandom then passes w.p.  $\leq S$ .

Then  $\forall \eta > 0$ , it is UG-hard given a CSP of the same "type" as our test, decide whether value  $\geq C - \eta$  or  $\leq S + \eta$ .

Example: We saw that Hast-Odds accepts dictators w.p.  $\geq 1 - \delta$  and accepts

$(\epsilon^2, \delta)$ -quasirandom w.p.  $\leq \frac{1}{2} + \frac{1}{2}\epsilon$ . Moreover, the tests were always of the form

" $x + y + z \stackrel{?}{=} 0/1 \pmod{2}$ ". This gives that  $\forall \eta > 0$ , it is UG-hard to tell whether given

list of mod 2 equations with 3 variables per equation (MAX3LIN2) is  $\geq 1 - \eta$ -

satis. or  $< \frac{1}{2} + \eta$  satis.

(In fact, it is NP-hard)

Example: Consider the test that chooses  $x \in \{0,1\}^k$  uniformly and  $w \sim \mu_p$  and checks that  $f(x) \neq f(x+w)$ . The acceptance prob. is  $\frac{1}{2} - \frac{1}{2} \langle f, T_{1-2p} f \rangle$ .

Dictatorship is accepted w.p.  $p$ . It is known [MO0] that quasirandom functions are accepted w.p.  $\leq \frac{1}{\pi} \arccos(1-2p)$ .

This implies hardness of  $\frac{\frac{1}{\pi} \arccos(1-2p)}{p}$



for MAX-CUT. For  $p=0.8466$  this gives UG-hardness of 0.8785. This exactly matches the Goemans-Williamson algorithm.

Proof (of Thm): Let  $\lambda = \min(\eta/q, \eta\delta^2/\epsilon/18)$ . By the UGC,  $\exists k=k(\lambda)$  s.t. the following is NP-hard. Given a unique-LC on  $n$  vertices  $V$  and alphabet  $[k]$ , decide whether  $\text{val} \geq 1-\lambda$  or  $\text{val} \leq \lambda$ . Moreover, it is known that we can assume that the graph is regular. We will reduce this problem to a CSP on  $2^k \cdot n$  variables. We think of these variables as  $n$  Boolean functions  $f_v: \{0,1\}^k \rightarrow \{-1,1\}$ , one for each  $v \in V$ . We will complete the proof by proving two properties:

1. (Completeness) If  $\exists$  a labeling  $L: V \rightarrow [k]$  satisfying  $\geq 1-\lambda$  of the constraints, then  $\exists \{f_v\}_{v \in V}$  s.t. they satisfy  $\geq C-q\lambda \geq C-\eta$  of the constraints of the CSP.
2. (Soundness) If  $\forall$  labeling  $L: V \rightarrow [k]$  at most  $\lambda \leq \eta\epsilon^2\delta^3/18$  fraction of constraints are satisfied, then  $\forall \{f_v\}_{v \in V}$  the CSP is  $< S+\eta$  satisfied.

Proof of 1: Assume  $L: V \rightarrow [k]$  satisfies  $\geq 1-\lambda$  of the constraints. Define  $f_v$  to be the  $L(v)$ -dictator function  $(\chi_{\{L(w)\}})$ . We now describe the reduction:

Instead of describing the  $q$ -CSP, we specify a tester on the  $\{f_v\}_{v \in V}$ . For each  $v \in V$ , and one of its neighbors  $w$ , define  $g_v^w: \{0,1\}^k \rightarrow \{-1,1\}$  by

$$g_v^w(x) = f_w(x_{\sigma_{w \rightarrow v}(1)}, x_{\sigma_{w \rightarrow v}(2)}, \dots, x_{\sigma_{w \rightarrow v}(k)}).$$

1. Pick  $v \in V$  uniformly.
2. Apply  $T$  to  $\{g_v^w \mid w \text{ is a neighbor of } v\}$ .

Notice, that this tester is of the same "type" as  $T$ .

The tester performs  $q$  queries, each to a random neighbor  $w$  of  $v$ . Since the graph is regular, each query satisfies that  $(v,w)$  is a uniformly distributed edge and hence is satisfied by  $L$  (i.e.,  $L(v) = \sigma_{w \rightarrow v}(L(w))$ ) w.p.  $\geq 1-q$ . By union bound all  $q$  queries  $w_1, w_2, \dots, w_q$  are such that  $(v, w_i)$  are satisfied by  $L$  w.p.  $\geq 1-q\lambda$ .

In such a case,  $g_v^w = g_v^{w^2} = \dots = g_v^{w^k} = f_v = \chi_{L(v)}$ , so  $T$  is applied to  $\chi_{L(v)}$  and hence accepts w.p.  $\geq C$ . Overall, the acceptance prob. is  $(1-q)\lambda C \geq C - q\lambda$ , as required.

Proof of 2: We prove the contrapositive. Assume that we have  $\{f_v\}_{v \in V}$  such that the tester passes w.p.  $\geq S + \eta$ . Our goal is to find a labeling  $L: V \rightarrow [k]$  that satisfies  $\geq \frac{7\delta^2 \epsilon^3}{18}$  of the constraints. For each  $v \in V$ , define a set of "candidate labels":

$$L(v) = \{i : \text{Inf}_i^{(1-\delta)}(f_v) \geq \frac{\epsilon}{2} \text{ or } \text{Inf}_i^{(1-\delta)}(h_v) \geq \epsilon\} \text{ where } h_v = \text{avg}\{g_v^w : w \text{ neighbor of } v\}.$$

By the bound we saw last time,  $|L(v)| \leq \frac{3}{\epsilon \delta}$ . In the following we will see that for at least  $\frac{7\epsilon}{2}$  of the edges  $(v, w)$ , we have that  $\exists i$  s.t.  $i \in L(v)$  and  $\sigma_{v \rightarrow w}(i) \in L(w)$ .

Define a labeling  $L: V \rightarrow [k]$  by choosing for each  $v \in V$  a label uniformly from  $L(v)$ .

$$\text{Since } \forall v, |L(v)| \leq \frac{3}{\epsilon \delta}, \text{ the labeling } L \text{ satisfies on expectation } \geq \frac{7\epsilon}{2} \cdot \left(\frac{\epsilon \delta}{3}\right)^2 = \frac{7\epsilon^3 \delta^2}{18} \text{ of the constraints, as required.}$$

By an averaging argument, at least  $\eta$  of the  $v$ 's are such that  $\Pr[\text{test accepts} | v] \geq S$ .

By our assumption on  $T$ , this implies that  $\exists i$  s.t.  $\epsilon \leq \text{Inf}_i^{(1-\delta)}(h_v)$  (so  $i \in L(v)$ ).

$$\begin{aligned} \epsilon \leq \text{Inf}_i^{(1-\delta)}(h_v) &= \sum_{s \in i} (1-\delta)^{|s|} \cdot \hat{h}_v(s)^2 = \sum_{s \in i} (1-\delta)^{|s|} \cdot \left( \mathbb{E}_{w \sim v} [\hat{g}_v^w(s)] \right)^2 \leq \sum_{s \in i} (1-\delta)^{|s|} \cdot \mathbb{E}_{w \sim v} [\hat{g}_v^w(s)^2] \\ &\quad \text{Jensen, convexity} \\ &= \mathbb{E}_{w \sim v} \left[ \sum_{s \in i} (1-\delta)^{|s|} \cdot \hat{g}_v^w(s)^2 \right] = \mathbb{E}_{w \sim v} \left[ \sum_{T \ni \sigma_{v \rightarrow w}(i)} (1-\delta)^{|T|} \cdot \hat{f}_w(T)^2 \right] = \mathbb{E}_{w \sim v} \left[ \text{Inf}_{\sigma_{v \rightarrow w}(i)}^{(1-\delta)}(f_w) \right]. \end{aligned}$$

By another averaging argument, we see that  $\geq \epsilon/2$  of  $v$ 's neighbors  $w$  satisfy

$$\text{Inf}_{\sigma_{v \rightarrow w}(i)}^{(1-\delta)}(f_w) \geq \frac{\epsilon}{2}, \text{ and hence } \sigma_{v \rightarrow w}(i) \in L(w). \text{ In total, we found a } \frac{7\epsilon}{2}$$

fraction of the edges  $(v, w)$  such that  $\exists i. i \in L(v)$  and  $\sigma_{v \rightarrow w}(i) \in L(w)$ , as required.  $\square$

### NP-hardness of MAX3LIN2

Assume we are given two Boolean functions,  $f: \{0,1\}^k \rightarrow \{-1,1\}$ ,  $g: \{0,1\}^2 \rightarrow \{-1,1\}$ , and a function  $\pi: [k] \rightarrow [2]$ . Consider the following test: Hastad<sub>2, \delta</sub>

1. Choose  $x \in \{0,1\}^k$ ,  $y \in \{0,1\}^k$  uniformly, and  $w \in \{0,1\}^k$  according to  $\mu_\delta$ .
2. Accept iff  $g(x) \cdot f(\pi^{-1}(x) + y) \cdot f(y + w) = 1$ , where  $(\pi^{-1}(x))_i = x_{\pi(i)}$ .

Lemma:  $\Pr[\text{test accepts } f, g, \pi] = \frac{1}{2} + \frac{1}{2} \sum_{s \in [k]} \hat{f}(s)^2 \cdot \hat{g}(\pi_2(s)) \cdot (1-2\delta)^{|s|}$ , where

$$\forall j \in [2], j \in \pi_2(s) \text{ iff } |\{i \in s : \pi(i) = j\}| \text{ is odd.}$$

Proof:  $\Pr[\text{test accepts } f, g, \pi] = \frac{1}{2} + \frac{1}{2} \mathbb{E}_{x, y, w} [g(x) \cdot f(\pi^{-1}(x) + y) \cdot f(y + w)] = \dots$

$$= \mathbb{E}_{x, y, w} [g(x) \cdot f(\pi^{-1}(x) + y) \cdot f(y + w)] = \mathbb{E}_{x, w} [g(x) \cdot f \star f(\pi^{-1}(x) + w)] = \mathbb{E}_x [g(x) \cdot (T_{1-2\delta}(f \star f))(\pi^{-1}(x))].$$

Define  $h(x) = T_{1-2\delta}(f \circ f)(\pi^{-1}(x))$  for  $x \in \{0,1\}^k$ .

$$\begin{aligned} \dots &= E[g(x)h(x)] = \sum_{T \in [k]} \hat{g}(T) \cdot \hat{h}(T) \stackrel{\text{Homework 1, Q2i}}{=} \sum_{T \in [k]} \hat{g}(T) \cdot \sum_{\substack{S \in [k] \\ \pi_2(S)=T}} \hat{T}_{1-2\delta}(f \circ f)(S) = \\ &= \sum_{S \in [k]} \hat{g}(\pi_2(S)) \cdot \hat{T}_{1-2\delta}(f \circ f)(S) = \sum_{S \in [k]} \hat{g}(\pi_2(S)) \cdot \hat{f}(S)^2 \cdot (1-2\delta)^{|S|}. \quad \square \end{aligned}$$

Cor: If  $f = \chi_{\{i\}}$  and  $g = \chi_{\{j\}}$  with  $\pi(i) = j$ , then  $\Pr[\text{accepts}] = 1 - \delta$ .

Proof: Either from lemma or directly.

Def: For a function  $f: \{0,1\}^k \rightarrow \{-1,1\}$  with  $\hat{f}(\emptyset) = 0$ , define  $Q_f$  to be the distribution on  $i \in [k]$  obtained by choosing  $S \in [k]$  with prob.  $\hat{f}(S)^2$  and then choosing  $i \in S$  uniformly. In other words,  $\Pr[Q_f = i] = \sum_{S \ni i} \frac{\hat{f}(S)^2}{|S|}$ .

Cor: Assume  $\Pr[\text{test accepts } f, g, \pi] \geq \frac{1}{2} + \epsilon$  and moreover  $\hat{f}(\emptyset) = \hat{g}(\emptyset) = 0$ .

Then,  $\Pr_{\substack{i \in Q_f \\ j \in Q_g}}[\pi(i) = j] \geq \delta \cdot \epsilon^3$ .

Proof: By the assumption,  $2\epsilon \leq \sum_{S \in [k]} \hat{f}(S)^2 \cdot \hat{g}(\pi_2(S)) \cdot (1-2\delta)^{|S|}$

Let  $\mathcal{F} = \{S \in [k] : \hat{g}(\pi_2(S)) \cdot (1-2\delta)^{|S|} > \epsilon\}$ . Then,  $\sum_{S \in \mathcal{F}} \hat{f}(S)^2 \cdot \hat{g}(\pi_2(S)) \cdot (1-2\delta)^{|S|} \leq \epsilon \cdot \sum_{S \in \mathcal{F}} \hat{f}(S)^2 = \epsilon$ .

Therefore,  $\epsilon \leq \sum_{S \in \mathcal{F}} \hat{f}(S)^2 \cdot \hat{g}(\pi_2(S)) \cdot (1-2\delta)^{|S|} \leq \sum_{S \in \mathcal{F}} \hat{f}(S)^2$ .

Now,  $\Pr_{\substack{i \in Q_f \\ j \in Q_g}}[\pi(i) = j] \geq \sum_S \hat{f}(S)^2 \cdot \hat{g}(\pi_2(S)) \cdot \frac{1}{|S|}$ , where the " $\frac{1}{|S|}$ " appears because for each  $j \in \pi_2(S)$  there is at least one  $i \in S$  s.t.  $\pi(i) = j$ .

Using the ineq.  $i \cdot (1-\delta)^i \leq \frac{1}{e}$ ,  $\hat{g}(\pi_2(S)) \cdot \frac{1}{|S|} \geq \delta \cdot \hat{g}(\pi_2(S)) \cdot (1-\delta)^{|S|}$ , which is at least  $\delta \cdot \epsilon^2$  for all  $S \in \mathcal{F}$ . Hence,  $\Pr[\dots] \geq \delta \cdot \epsilon^2 \sum_{S \in \mathcal{F}} \hat{f}(S)^2 \geq \delta \cdot \epsilon^3$ .  $\square$

Thm:  $\forall \eta > 0$  it is NP-hard to tell whether a given MAX3LIN2 instance has value  $\geq 1 - \eta$  or  $\leq \frac{1}{2} + \eta$ .