

# ENDOMORPHISM ALGEBRAS OF SUPERELLIPTIC JACOBIANS

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## 1. INTRODUCTION

As usual, we write  $\mathbf{Z}$ ,  $\mathbf{Q}$ ,  $\mathbf{F}_p$ ,  $\mathbf{C}$  for the ring of integers, the field of rational numbers, the finite field with  $p$  elements and the field of complex numbers respectively. If  $Z$  is a smooth algebraic variety over an algebraically closed field then we write  $\Omega^1(Z)$  for the space of differentials of the first kind on  $Z$ . If  $Z$  is an abelian variety then we write  $\text{End}(Z)$  for its ring of (absolute) endomorphisms and  $\text{End}^0(Z)$  for its endomorphism algebra  $\text{End}(Z) \otimes \mathbf{Q}$ . If  $Z$  is defined over a (not necessarily algebraically closed) field  $K$  then we write  $\text{End}_K(Z) \subset \text{End}(Z)$  for the (sub)ring of  $K$ -endomorphisms of  $Z$ .

Let  $p$  be a prime,  $q = p^r$  an integral power of  $p$ ,  $\zeta_q \in \mathbf{C}$  a primitive  $q$ th root of unity,  $\mathbf{Q}(\zeta_q) \subset \mathbf{C}$  the  $q$ th cyclotomic field and  $\mathbf{Z}[\zeta_q]$  the ring of integers in  $\mathbf{Q}(\zeta_q)$ . If  $q = 2$  then  $\mathbf{Q}(\zeta_q) = \mathbf{Q}$ . It is well-known that if  $q > 2$  then  $\mathbf{Q}(\zeta_q)$  is a CM-field of degree  $(p-1)p^{r-1}$ . Let us put

$$\mathcal{P}_q(t) = \frac{t^q - 1}{t - 1} = t^{q-1} + \cdots + 1 \in \mathbf{Z}[t].$$

Clearly,  $\mathcal{P}_q(t) = \prod_{i=1}^r \Phi_{p^i}(t)$  where  $\Phi_{p^i}(t) = t^{(p-1)p^{i-1}} + \cdots + t^{p^{i-1}} + 1 \in \mathbf{Z}[t]$  is the  $p^i$ th cyclotomic polynomial. In particular,  $\mathbf{Q}[t]/\Phi_{p^i}(t)\mathbf{Q}[t] = \mathbf{Q}(\zeta_{p^i})$  and  $\mathbf{Q}[t]/\mathcal{P}_q(t)\mathbf{Q}[t] = \prod_{i=1}^r \mathbf{Q}(\zeta_{p^i})$ .

Let  $f(x) \in \mathbf{C}[x]$  be a polynomial of degree  $n \geq 4$  without multiple roots. Let  $C_{f,q}$  be a smooth projective model of the smooth affine curve  $y^q = f(x)$ . The map  $(x, y) \mapsto (x, \zeta_q y)$  gives rise to a non-trivial birational automorphism  $\delta_q : C_{f,q} \rightarrow C_{f,q}$  of period  $q$ . The jacobian  $J(C_{f,q})$  of  $C_{f,q}$  is a complex abelian variety. By Albanese functoriality,  $\delta_q$  induces an automorphism of  $J(C_{f,q})$  which we still denote by  $\delta_q$ . One may easily check (see 4.8 below) that  $\delta_q^{q-1} + \cdots + \delta_q + 1 = 0$  in  $\text{End}(J(C_{f,q}))$ . This implies that if  $\mathbf{Q}[\delta_q]$  is the  $\mathbf{Q}$ -subalgebra of  $\text{End}^0(J(C_{f,q}))$  generated by  $\delta_q$  then there is the natural surjective homomorphism  $\mathbf{Q}[t]/\mathcal{P}_q(t)\mathbf{Q}[t] \rightarrow \mathbf{Q}[\delta_q]$  that sends  $t + \mathcal{P}_q(t)\mathbf{Q}[t]$  to  $\delta_q$ . One may check that this homomorphism is, in fact,

isomorphism (see [7, p. 149], [8, p. 458]) where the case  $q = p$  was treated). This gives us an embedding  $\mathbf{Q}[t]/\mathcal{P}_q(t)\mathbf{Q}[t] \cong \mathbf{Q}[\delta_q] \subset \text{End}^0(J(C_{f,q}))$ . Our main result is the following statement.

**Theorem 1.1.** *Let  $K$  be a subfield of  $\mathbf{C}$  such that  $f(x)$  is an irreducible polynomial in  $K[x]$  of degree  $n \geq 5$  and its Galois group over  $K$  is either the full symmetric group  $\mathbf{S}_n$  or the alternating group  $\mathbf{A}_n$ . In addition, assume that either  $p$  does not divide  $n$  or  $q \mid n$ . Then  $\text{End}^0(J(C_{f,q})) = \mathbf{Q}[\delta_q] \cong \mathbf{Q}[t]/\mathcal{P}_q(t)\mathbf{Q}[t] = \prod_{i=1}^r \mathbf{Q}(\zeta_{p^i})$ .*

**Remark 1.2.** If  $q$  is a prime (i.e.  $q = p$ ) then  $J(C_{f,p})$  is an absolutely simple abelian variety and  $\text{End}(J(C_{f,p})) = \mathbf{Z}[\delta_p] \cong \mathbf{Z}[\zeta_p]$  [14, 20]. In particular, if  $p = 2$  then  $C_{f,2}$  is a hyperelliptic curve,  $\delta_2$  is multiplication by  $-1$  and  $\text{End}(J(C_{f,2})) = \mathbf{Z}$ . See [19, 22, 18] for a discussion of finite characteristic case.

**Examples 1.3.** Let  $n \geq 5$  be an integer,  $p$  a prime,  $r$  a positive integer,  $q = p^r$ . Assume also that either  $n$  is not divisible by  $p$  or  $q \mid n$ .

(1) The polynomial  $x^n - x - 1 \in \mathbf{Q}[x]$  has Galois group  $\mathbf{S}_n$  over  $\mathbf{Q}$  ([11, p. 42]).

Therefore the endomorphism algebra (over  $\mathbf{C}$ ) of the jacobian  $J(C)$  of the curve  $C : y^q = x^n - x - 1$  is  $\mathbf{Q}[t]/\mathcal{P}_q(t)\mathbf{Q}[t]$ .

(2) The Galois group of the “truncated exponential”

$$\exp_n(x) := 1 + x + \frac{x^2}{2} + \frac{x^3}{6} + \cdots + \frac{x^n}{n!} \in \mathbf{Q}[x]$$

is either  $\mathbf{S}_n$  or  $\mathbf{A}_n$  [9]. Therefore the endomorphism algebra (over  $\mathbf{C}$ ) of the jacobian  $J(C)$  of the curve  $C : y^q = \exp_n(x)$  is  $\mathbf{Q}[t]/\mathcal{P}_q(t)\mathbf{Q}[t]$ .

**Remark 1.4.** If  $f(x) \in K[x]$  then the curve  $C_{f,q}$  and its jacobian  $J(C_{f,q})$  are defined over  $K$ . Let  $K_a \subset \mathbf{C}$  be the algebraic closure of  $K$ . Clearly, all endomorphisms of  $J(C_{f,q})$  are defined over  $K_a$ . This implies that in order to prove Theorem 1.1, it suffices to check that  $\mathbf{Q}[\delta_q]$  coincides with the  $\mathbf{Q}$ -algebra of  $K_a$ -endomorphisms of  $J(C_{f,q})$ .

Our main technical tool used in the proof of Theorem 1.1 is a certain modular representation  $V_{f,p}$  of the Galois group of  $f$  [3, 17] arising from its action on the roots of  $f$ . In the case of  $q = p$  the Galois module  $V_{f,p}$  is canonically isomorphic to the subgroup of  $\delta_p$ -invariants in  $J(C_{f,p})$  (if  $\zeta_p \in K$ ) [7, 8]. In the present paper we construct (assuming that  $\zeta_q \in K$  and  $p$  does not divide  $n$ ) an abelian subvariety  $J^{f,q} \subset J(C_{f,q})$  with multiplication by  $\mathbf{Z}[\zeta_q]$  and prove that  $V_{f,p}$  is canonically

isomorphic to the subgroup of  $\zeta_q$ -invariants in  $J^{f,q}$  (Lemma 4.11). (It turns out that if  $q = p^r$  then  $J(C_{f,q})$  is isogenous to a product of all  $J^{f,p^i}$  with  $1 \leq i \leq r$ .)

The paper is organized as follows. In §2 we obtain conditions that guarantee that the center of the endomorphism algebra of a complex abelian variety is a cyclotomic field (Corollary 2.2). In §3 we study abelian varieties  $X$  over arbitrary fields, whose endomorphism ring contains a subring isomorphic to the ring  $\mathcal{O}$  of integers in a given number field  $E$ . We study the Galois action on the  $\lambda$ -torsion  $X_\lambda$  of  $X$  where  $\lambda$  is a maximal ideal in  $\mathcal{O}$ . We prove (Theorem 3.8) that if the Galois module  $X_\lambda$  is *very simple* in the sense of [15, 21] then the centralizer of  $E$  in the algebra  $\text{End}^0(X)$  of all (absolute) endomorphisms of  $X$  either coincides with  $E$  or is “very big”. In §4 we study endomorphism algebras of  $J^{(f,q)}$ , using the very simplicity of the Galois module  $V_{f,p}$  when  $\deg(f) \geq 5$  and the Galois group of  $f$  is either the full symmetric or the alternating group. Theorem 3.8 helps us to prove that in characteristic zero  $\mathbf{Q}(\zeta_q)$  is a maximal commutative subalgebra in  $\text{End}^0(J^{f,q})$ . Using Corollary 2.2 and computations with differentials of the first kind (Theorem 3.10 and Remark 4.2), we prove (Theorem 4.16) that the center of  $\text{End}^0(J^{f,q})$  coincides with  $\mathbf{Q}(\zeta_q)$  and therefore  $\text{End}^0(J^{f,q}) = \mathbf{Q}(\zeta_q)$ . We finish the proof of Theorem 1.1 in §5.

## 2. COMPLEX ABELIAN VARIETIES

Let  $Z$  be a complex abelian variety of positive dimension. We write  $\mathfrak{C}_Z$  for the center of the semisimple finite-dimensional  $\mathbf{Q}$ -algebra  $\text{End}^0(Z)$ .

Let  $E$  be a subfield of  $\text{End}^0(Z)$  that contains the identity map. Let  $\Sigma_E$  be the set of all field embeddings  $\sigma : E \hookrightarrow \mathbf{C}$ . It is well-known that

$$\mathbf{C}_\sigma := E \otimes_{E,\sigma} \mathbf{C} = \mathbf{C}, \quad E_{\mathbf{C}} = E \otimes_{\mathbf{Q}} \mathbf{C} = \prod_{\sigma \in \Sigma_E} E \otimes_{E,\sigma} \mathbf{C} = \prod_{\sigma \in \Sigma_E} \mathbf{C}_\sigma.$$

Let  $\text{Lie}(Z)$  be the tangent space to the origin of  $Z$ ; it is a  $\dim(Z)$ -dimensional  $\mathbf{C}$ -vector space. By functoriality,  $\text{End}^0(Z)$  and therefore  $E$  act on  $\text{Lie}(Z)$  and therefore provide  $\text{Lie}(Z)$  with a natural structure of  $E \otimes_{\mathbf{Q}} \mathbf{C}$ -module. Clearly,

$$\text{Lie}(Z) = \bigoplus_{\sigma \in \Sigma_E} \mathbf{C}_\sigma \text{Lie}(Z) = \bigoplus_{\sigma \in \Sigma_E} \text{Lie}(Z)_\sigma$$

where  $\text{Lie}(Z)_\sigma := \mathbf{C}_\sigma \text{Lie}(Z) = \{x \in \text{Lie}(Z) \mid ex = \sigma(e)x \quad \forall e \in E\}$ . Let us put  $n_\sigma = n_\sigma(Z, E) = \dim_{\mathbf{C}_\sigma} \text{Lie}(Z)_\sigma = \dim_{\mathbf{C}} \text{Lie}(Z)_\sigma$ . It is well-known that the natural map  $\Omega^1(Z) \rightarrow \text{Hom}_{\mathbf{C}}(\text{Lie}(Z), \mathbf{C})$  is an isomorphism. This allows us to define via duality the natural homomorphism  $E \rightarrow \text{End}_{\mathbf{C}}(\text{Hom}_{\mathbf{C}}(\text{Lie}(Z), \mathbf{C})) =$

$\text{End}_{\mathbf{C}}(\Omega^1(Z))$ . This provides  $\Omega^1(Z)$  with a natural structure of  $E \otimes_{\mathbf{Q}} \mathbf{C}$ -module in such a way that  $\Omega^1(Z)_\sigma := \mathbf{C}_\sigma \Omega^1(Z) \cong \text{Hom}_{\mathbf{C}}(\text{Lie}(Z)_\sigma, \mathbf{C})$ . In particular,

$$n_\sigma = \dim_{\mathbf{C}}(\text{Lie}(Z)_\sigma) = \dim_{\mathbf{C}}(\Omega^1(Z)_\sigma) \quad (1).$$

The following statement is contained in [20, Th. 2.3].

**Theorem 2.1.** *If  $E/\mathbf{Q}$  is Galois,  $E$  contains  $\mathfrak{C}_Z$  and  $\mathfrak{C}_Z \neq E$  then there exists a nontrivial automorphism  $\kappa : E \rightarrow E$  such that  $n_\sigma = n_{\sigma\kappa}$  for all  $\sigma \in \Sigma_E$ .*

The following assertion will be used in the proof of Theorem 4.16.

**Corollary 2.2.** *Suppose that there exist a prime  $p$ , a positive integer  $r$ , the prime power  $q = p^r$  and an integer  $n \geq 4$  enjoying the following properties:*

- (i)  $E = \mathbf{Q}(\zeta_q) \subset \mathbf{C}$  where  $\zeta_q \in \mathbf{C}$  is a primitive  $q$ th root of unity;
- (ii)  $n$  is not divisible by  $p$ , i.e.  $n$  and  $q$  are relatively prime;
- (iii) Let  $i < q$  be a positive integer that is not divisible by  $p$  and  $\sigma_i : E = \mathbf{Q}(\zeta_q) \hookrightarrow \mathbf{C}$  the embedding that sends  $\zeta_q$  to  $\zeta_q^{-i}$ . Then  $n_{\sigma_i} = \left[ \frac{ni}{q} \right]$ .

Then  $\mathfrak{C}_Z = \mathbf{Q}(\zeta_q)$ .

*Proof.* If  $q = 2$  then  $E = \mathbf{Q}(\zeta_2) = \mathbf{Q}$ . Since  $\mathfrak{C}_Z$  is a subfield of  $E = \mathbf{Q}$ , we conclude that  $\mathfrak{C}_Z = \mathbf{Q} = \mathbf{Q}(\zeta_2)$ . So, further we assume that  $q > 2$ .

Clearly,  $\{\sigma_i\}$  is the collection  $\Sigma$  of all embeddings  $\mathbf{Q}(\zeta_q) \hookrightarrow \mathbf{C}$ . By (iii),  $n_{\sigma_i} = 0$  if and only if  $1 \leq i \leq \left[ \frac{q}{n} \right]$ . Suppose that  $\mathfrak{C}_Z \neq \mathbf{Q}(\zeta_q)$ . It follows from Theorem 2.1 that there exists a non-trivial field automorphism  $\kappa : \mathbf{Q}[\zeta_q] \rightarrow \mathbf{Q}[\zeta_q]$  such that for all  $\sigma \in \Sigma$  we have  $n_\sigma = n_{\sigma\kappa}$ . Clearly, there exists an integer  $m$  such that  $p$  does not divide  $m$ ,  $1 < m < q$  and  $\kappa(\zeta_q) = \zeta_q^m$ .

Assume that  $q < n$ . In this case the function  $i \mapsto n_{\sigma_i} = \left[ \frac{ni}{q} \right]$  is strictly increasing and therefore  $n_{\sigma_i} \neq n_{\sigma_j}$  while  $i \neq j$ . This implies that  $\sigma_i = \sigma_i\kappa$ , i.e.  $\kappa$  is the identity map which is not the case. The obtained contradiction implies that  $n < q$ . Since  $n \geq 4$ , we have  $q \geq 5$ .

If  $i$  is an integer then we write  $\bar{i} \in \mathbf{Z}/q\mathbf{Z}$  for its residue modulo  $q$ .

Clearly,  $n_\sigma = 0$  if and only if  $\sigma = \sigma_i$  with  $1 \leq i \leq \left[ \frac{q}{n} \right]$ . Since  $n$  and  $q$  are relatively prime,  $\left[ \frac{q}{n} \right] = \left[ \frac{q-1}{n} \right]$ . It follows that  $n_{\sigma_i} = 0$  if and only if  $1 \leq i \leq \left[ \frac{q-1}{n} \right]$ . Clearly, the map  $\sigma \mapsto \sigma\kappa$  permutes the set

$$\{\sigma_i \mid 1 \leq i \leq \left[ \frac{q-1}{n} \right], p \text{ does not divide } i\}.$$

Since  $\kappa(\zeta_q) = \zeta_q^m$  and  $\sigma_i \kappa(\zeta_q) = \zeta_q^{-im}$ , it follows that if

$$A := \left\{ i \in \mathbf{Z} \mid 1 \leq i \leq \left[ \frac{q-1}{n} \right] < q, \text{ } p \text{ does not divide } i \right\}$$

then the multiplication by  $m$  in  $(\mathbf{Z}/q\mathbf{Z})^* = \text{Gal}(\mathbf{Q}(\zeta_q)/\mathbf{Q})$  leaves invariant the set  $\bar{A} := \{\bar{i} \in \mathbf{Z}/q\mathbf{Z} \mid i \in A\}$ . Clearly,  $A$  contains 1 and therefore  $\bar{m} = m \cdot \bar{1} \in \bar{A}$ . Since  $1 < m < q$ ,

$$m = m \cdot 1 \leq \left[ \frac{q-1}{n} \right] \quad (2).$$

Let us consider the arithmetic progression consisting of  $2m$  integers

$$\left[ \frac{q-1}{n} \right] + 1, \dots, \left[ \frac{q-1}{n} \right] + 2m$$

with difference 1. All its elements lie between  $\left[ \frac{q-1}{n} \right] + 1$  and

$$\left[ \frac{q-1}{n} \right] + 2m \leq 3 \left[ \frac{q-1}{n} \right] \leq 3 \frac{q-1}{4} < q-1.$$

Clearly, there exist exactly two elements of  $A$  say,  $mc_1$  and  $mc_1+m$  that are divisible by  $m$ . Clearly,  $c_1$  is a positive integer and either  $c_1$  or  $c_1+1$  is not divisible by  $p$ ; we put  $c = c_1$  in the former case and  $c = c_1+1$  in the latter case. However,  $c$  is not divisible by  $p$  and

$$\left[ \frac{q-1}{n} \right] < mc \leq \left[ \frac{q-1}{n} \right] + 2m < q-1 \quad (3).$$

It follows that  $mc$  does not lie in  $A$  and therefore  $\bar{mc}$  does not lie in  $\bar{A}$ . This implies that  $\bar{c}$  also does not lie in  $\bar{A}$  and therefore  $c > \left[ \frac{q-1}{n} \right]$ . Using (3), we conclude that

$$(m-1) \left[ \frac{q-1}{n} \right] < 2m$$

and therefore

$$\left[ \frac{q-1}{n} \right] < \frac{2m}{m-1} = 2 + \frac{2}{m-1}.$$

If  $m > 2$  then  $m \geq 3$  and using (2), we conclude that

$$3 \leq m \leq \left[ \frac{q-1}{n} \right] < 2 + \frac{2}{m-1} \leq 3$$

and therefore  $3 < 3$ , which is not true. Hence  $m = 2$  and

$$2 = m \leq \left[ \frac{q-1}{n} \right] < 2 + \frac{2}{m-1} = 4$$

and therefore  $\left[ \frac{q-1}{n} \right] = 2$  or 3. It follows that  $q \geq 1 + 2n \geq 1 + 2 \cdot 4 = 9$ . Since  $m = 2$  is not divisible by  $p$ , we conclude that  $p \geq 3$  and either  $\bar{A} = \{\bar{1}, \bar{2}\}$  or  $p > 3$  and  $A = \{\bar{1}, \bar{2}, \bar{3}\}$ . In both cases  $\bar{4} = 2 \cdot \bar{2} = m \cdot \bar{2}$  must lie in  $\bar{A}$ . Contradiction.  $\square$

## 3. ABELIAN VARIETIES OVER ARBITRARY FIELDS

Let  $K$  be a field. Let us fix its algebraic closure  $K_a$  and denote by  $\text{Gal}(K)$  the absolute Galois group  $\text{Aut}(K_a/K)$  of  $K$ . If  $X$  is an abelian variety of positive dimension over  $K_a$  then we write  $1_X$  (or even just 1) for the identity automorphism of  $X$ . If  $Y$  is (may be another) abelian variety of positive dimension over  $K_a$  then we write  $\text{Hom}(X, Y)$  for the group of all  $K_a$ -homomorphisms from  $X$  to  $Y$ . We write  $\text{Hom}^0(X, Y)$  for the finite-dimensional  $\mathbf{Q}$ -vector space  $\text{Hom}(X, Y) \otimes \mathbf{Q}$ . Clearly,  $\text{End}(X) = \text{Hom}(X, X)$  and  $\text{End}^0(X) = \text{End}(X) \otimes \mathbf{Q} = \text{Hom}^0(X, X)$ . It is well-known that  $\text{End}^0(X)$  is a finite-dimensional semisimple  $\mathbf{Q}$ -algebra and  $\dim_{\mathbf{Q}}(\text{End}^0(X))$  does not exceed  $4\dim(X)^2$  [4, §19, corollary 1 to theorem 3]; the equality holds if and only if  $\text{char}(K) > 0$  and  $X$  is a supersingular abelian variety [14, Lemma 3.1].

Let  $E$  be a number field and  $\mathcal{O} \subset E$  be the ring of all its algebraic integers. Let  $(X, i)$  be a pair consisting of an abelian variety  $X$  over  $K_a$  and an embedding

$$i : E \hookrightarrow \text{End}^0(X)$$

with  $i(1) = 1_X$ . It is well known [12, Proposition 2 on p. 36] that  $[E : \mathbf{Q}]$  divides  $2\dim(X)$ , i.e.,  $r = r_X := 2\dim(X)/[E : \mathbf{Q}]$  is a positive integer.

Let us denote by  $\text{End}^0(X, i)$  the centralizer of  $i(E)$  in  $\text{End}^0(X)$ . Clearly,  $i(E)$  lies in the center of the finite-dimensional  $\mathbf{Q}$ -algebra  $\text{End}^0(X, i)$ . It follows that  $\text{End}^0(X, i)$  carries a natural structure of finite-dimensional  $E$ -algebra. If  $Y$  is (possibly) another abelian variety over  $K_a$  and  $j : E \hookrightarrow \text{End}^0(Y)$  is an embedding that sends 1 to the identity automorphism of  $Y$  then we write

$$\text{Hom}^0((X, i), (Y, j)) = \{u \in \text{Hom}^0(X, Y) \mid ui(c) = j(c)u \quad \forall c \in E\}.$$

Clearly,  $\text{End}^0(X, i) = \text{Hom}^0((X, i), (X, i))$ . By abuse of language, we call elements of  $\text{Hom}^0((X, i), (Y, j))$   $E$ -equivariant homomorphisms from  $X$  to  $Y$ .

Recall that if  $\psi : X \rightarrow Y$  is an isogeny then there exist an isogeny  $\phi : Y \rightarrow X$  and a positive integer  $N$  such that  $\phi\psi = N1_X$ ,  $\psi\phi = N1_Y$ . One may easily check that if  $\psi$  is  $E$ -equivariant then  $\phi$  is also  $E$ -equivariant.

If  $d$  is a positive integer then we write  $i^{(d)}$  for the composition

$$E \hookrightarrow \text{End}^0(X) \subset \text{End}^0(X^d)$$

of  $i$  and the diagonal inclusion  $\text{End}^0(X) \subset \text{End}^0(X^d)$ .

One may easily check [23, Remark 4.1] that the  $E$ -algebra  $\text{End}^0(X, i)$  is semisimple. The following assertion is contained in [23, Theorem 4.2].

**Theorem 3.1.** (i) *We always have*

$$\dim_E(\text{End}^0((X, i))) \leq \frac{4 \cdot \dim(X)^2}{[E : \mathbf{Q}]^2}.$$

(ii) *Suppose that*

$$\dim_E(\text{End}^0((X, i))) = \frac{4 \cdot \dim(X)^2}{[E : \mathbf{Q}]^2}.$$

*Then  $X$  is an abelian variety of CM-type isogenous to a self-product of an (absolutely) simple abelian variety. Also  $\text{End}^0((X, i))$  is a central simple  $E$ -algebra, i.e.,  $E$  coincides with the center of  $\text{End}^0((X, i))$ .*

*Moreover, if  $\text{char}(K_a) = 0$  then  $[E : \mathbf{Q}]$  is even and there exist a  $\frac{[E : \mathbf{Q}]}{2}$ -dimensional abelian variety  $Z$ , an isogeny  $\psi : Z^r \rightarrow X$  and an embedding  $k : E \hookrightarrow \text{End}^0(Z)$  that send 1 to  $1_Z$  and such that  $\psi \in \text{Hom}^0((Z^r, k^{(r)}), (X, i))$ .*

**Remark 3.2.** Suppose that

$$\dim_E(\text{End}^0((X, i))) = \frac{4 \cdot \dim(X)^2}{[E : \mathbf{Q}]^2}.$$

By 3.1(ii),  $X$  is isogenous to a self-product of an absolutely simple abelian variety  $B$ . It is proven in [23, §4, Proof of Theorem 4.2] that  $B$  is an abelian variety of CM-type. Recall [12, Prop. 26 on p. 96] that in characteristic zero every absolutely simple abelian variety of CM type is defined over a number field; in positive characteristic such a variety is isogenous to an abelian variety defined over a finite field (a theorem of Grothendieck [5, Th. 1.1]). It follows easily that:

- (1) If  $\text{char}(K) = 0$  then  $X$  is defined over a number field;
- (2) If  $\text{char}(K) > 0$  then  $X$  is isogenous to an abelian variety defined over a finite field.

Let  $d$  be a positive integer that is not divisible by  $\text{char}(K)$ . Suppose that  $X$  is defined over  $K$ . We write  $X_d$  for the kernel of multiplication by  $d$  in  $X(K_a)$ . It is known [4, Proposition on p. 64] that the commutative group  $X_d$  is a free  $\mathbf{Z}/d\mathbf{Z}$ -module of rank  $2\dim(X)$ . Clearly,  $X_d$  is a Galois submodule in  $X(K_a)$ . We write  $\tilde{\rho}_{d,X} : \text{Gal}(K) \rightarrow \text{Aut}_{\mathbf{Z}/d\mathbf{Z}}(X_d) \cong \text{GL}(2\dim(X), \mathbf{Z}/d\mathbf{Z})$  for the corresponding (continuous) homomorphism defining the Galois action on  $X_d$ . Let us put

$$\tilde{G}_{d,X} = \tilde{\rho}_{d,X}(\text{Gal}(K)) \subset \text{Aut}_{\mathbf{Z}/d\mathbf{Z}}(X_d).$$

Clearly,  $\tilde{G}_{d,X}$  coincides with the Galois group of the field extension  $K(X_d)/K$  where  $K(X_d)$  is the field of definition of all points on  $X$  of order dividing  $d$ . In particular, if a prime  $\ell \neq \text{char}(K)$  then  $X_\ell$  is a  $2\dim(X)$ -dimensional vector space over the prime field  $\mathbf{F}_\ell = \mathbf{Z}/\ell\mathbf{Z}$  and the inclusion  $\tilde{G}_{\ell,X} \subset \text{Aut}_{\mathbf{F}_\ell}(X_\ell)$  defines a faithful linear representation of the group  $\tilde{G}_{\ell,X}$  in the vector space  $X_\ell$ .

Now let us assume that

$$i(\mathcal{O}) \subset \text{End}_K(X).$$

Let  $\lambda$  be a maximal ideal in  $\mathcal{O}$ . We write  $k(\lambda)$  for the corresponding (finite) residue field. Let us put

$$X_\lambda := \{x \in X(K_a) \mid i(e)x = 0 \quad \forall e \in \lambda\}.$$

Clearly, if  $\text{char}(k(\lambda)) = \ell$  then  $\lambda \supset \ell \cdot \mathcal{O}$  and therefore  $X_\lambda \subset X_\ell$ . Clearly,  $X_\lambda$  is a Galois submodule of  $X_\ell$ . It is also clear that  $X_\lambda$  carries a natural structure of  $\mathcal{O}/\lambda = k(\lambda)$ -vector space. We write

$$\tilde{\rho}_{\lambda,X} : \text{Gal}(K) \rightarrow \text{Aut}_{k(\lambda)}(X_\lambda)$$

for the corresponding (continuous) homomorphism defining the Galois action on  $X_\lambda$ . Let us put

$$\tilde{G}_{\lambda,X} = \tilde{G}_{\lambda,i,X} := \tilde{\rho}_{\lambda,X}(\text{Gal}(K)) \subset \text{Aut}_{k(\lambda)}(X_\lambda).$$

Clearly,  $\tilde{G}_{\lambda,X}$  coincides with the Galois group of the field extension  $K(X_\lambda)/K$  where  $K(X_\lambda) = K(X_{\lambda,i})$  is the field of definition of all points in  $X_\lambda$ .

In order to describe  $\tilde{\rho}_{\lambda,X}$  explicitly, let us assume for the sake of simplicity that  $\lambda$  is the only maximal ideal of  $\mathcal{O}$  dividing  $\ell$ , i.e.,  $\ell \cdot \mathcal{O} = \lambda^b$  where the positive integer  $b$  satisfies  $[E : \mathbf{Q}] = b \cdot [k(\lambda) : \mathbf{F}_\ell]$ . Then  $\mathcal{O} \otimes \mathbf{Z}_\ell = \mathcal{O}_\lambda$  where  $\mathcal{O}_\lambda$  is the completion of  $\mathcal{O}$  with respect to the  $\lambda$ -adic topology. It is well-known that  $\mathcal{O}_\lambda$  is a local principal ideal domain and its only maximal ideal is  $\lambda\mathcal{O}_\lambda$ . One may easily check that  $\ell \cdot \mathcal{O}_\lambda = (\lambda\mathcal{O}_\lambda)^b$ .

Let us choose an element  $c \in \lambda$  that does not lie in  $\lambda^2$ . Clearly,  $\lambda\mathcal{O}_\lambda = c \cdot \mathcal{O}_\lambda$ . This implies that there exists a unit  $u \in \mathcal{O}_\lambda^*$  such that  $\ell = uc^b$ . It follows from the unique factorization of ideals in  $\mathcal{O}$  that  $\lambda = \ell \cdot \mathcal{O} + c \cdot \mathcal{O}$ . It follows readily that

$$X_\lambda = \{x \in X_\ell \mid cx = 0\} \subset X_\ell.$$

Let  $T_\ell(X)$  be the  $\ell$ -adic Tate module of  $X$  defined as the projective limit of Galois modules  $X_{\ell^m}$  [4, §18]. Recall that  $T_\ell(X)$  is a free  $\mathbf{Z}_\ell$ -module of rank  $2\dim(X)$

provided with the continuous action  $\rho_{\ell, X} : \text{Gal}(K) \rightarrow \text{Aut}_{\mathbf{Z}_\ell}(T_\ell(X))$  and the natural embedding [4, §19, theorem 3]

$$\text{End}_K(X) \otimes \mathbf{Z}_\ell \subset \text{End}(X) \otimes \mathbf{Z}_\ell \hookrightarrow \text{End}_{\mathbf{Z}_\ell}(T_\ell(X)) \quad (4).$$

Clearly, the image of  $\text{End}_K(X) \otimes \mathbf{Z}_\ell$  commutes with  $\rho_{\ell, X}(\text{Gal}(K))$ . In particular,  $T_\ell(X)$  carries the natural structure of  $\mathcal{O} \otimes \mathbf{Z}_\ell = \mathcal{O}_\lambda$ -module. The following assertion is a special case of Proposition 2.2.1 on p. 769 in [6].

**Lemma 3.3.** *The  $\mathcal{O}_\lambda$ -module  $T_\ell(X)$  is free of rank  $r_X$ .*

There is also the natural isomorphism of Galois modules  $X_\ell = T_\ell(X)/\ell T_\ell(X)$ , which is also an isomorphism of  $\text{End}_K(X) \supset \mathcal{O}$ -modules. This implies that the  $\mathcal{O}[\text{Gal}(K)]$ -module  $X_\lambda$  coincides with

$$c^{-1} \ell T_\ell(X)/\ell T_\ell(X) = c^{b-1} T_\ell(X)/c^b T_\ell(X) = T_\ell(X)/c T_\ell(X) =$$

$$T_\ell(X)/\lambda T_\ell(X) = T_\ell(X)/(\lambda \mathcal{O}_\lambda) T_\ell(X).$$

Hence

$$X_\lambda = T_\ell(X)/(\lambda \mathcal{O}_\lambda) T_\ell(X) = T_\ell(X) \otimes_{\mathcal{O}_\lambda} k(\lambda), \quad \dim_{k(\lambda)} X_\lambda = r_X = \frac{2\dim(X)}{[E : \mathbf{Q}]} \quad (5).$$

Let us consider the  $2\dim(X)$ -dimensional  $\mathbf{Q}_\ell$ -vector space

$$V_\ell(X) = T_\ell(X) \otimes_{\mathbf{Z}_\ell} \mathbf{Q}_\ell,$$

which carries a natural structure of  $r_X$ -dimensional  $E_\lambda$ -vector space. Extending the embedding (4) by  $\mathbf{Q}_\ell$ -linearity, we get the natural embedding

$$E \otimes_{\mathbf{Q}} \mathbf{Q}_\ell = \mathcal{O} \otimes \mathbf{Q}_\ell \xrightarrow{i} \text{End}_K(X) \otimes \mathbf{Q}_\ell \subset \text{End}^0(X) \otimes_{\mathbf{Q}} \mathbf{Q}_\ell \hookrightarrow \text{End}_{\mathbf{Q}_\ell}(V_\ell(X)).$$

Further we will identify  $\text{End}^0(X) \otimes_{\mathbf{Q}} \mathbf{Q}_\ell$  with its image in  $\text{End}_{\mathbf{Q}_\ell}(V_\ell(X))$ .

**Remark 3.4.** (1) Clearly, the center  $\mathfrak{C}_X$  of  $\text{End}^0(X)$  commutes with  $i(E)$  and therefore lies in  $\text{End}^0(X, i)$ . Since  $\mathfrak{C}_X$  also commutes with  $\text{End}^0(X, i)$ , it lies in the center of  $\text{End}^0(X, i)$ ;  
 (2) Notice that  $E_\lambda = E \otimes_{\mathbf{Q}} \mathbf{Q}_\ell = \mathcal{O} \otimes \mathbf{Q}_\ell = \mathcal{O}_\lambda \otimes_{\mathbf{Z}_\ell} \mathbf{Q}_\ell$  is the field coinciding with the completion of  $E$  with respect to  $\lambda$ -adic topology. Clearly,  $V_\ell(X)$  carries a natural structure of  $r_X$ -dimensional  $E_\lambda$ -vector space and  $\dim_{E_\lambda}(\text{End}_{E_\lambda}(V_\ell(X))) = r_X^2$ .

(3) One may easily check that  $\text{End}^0(X, i) \otimes_{\mathbf{Q}} \mathbf{Q}_\ell$  is a  $E \otimes_{\mathbf{Q}} \mathbf{Q}_\ell = E_\lambda$ -vector subspace (even subalgebra) in  $\text{End}_{E_\lambda}(V_\ell(X))$ . Clearly,

$$\dim_{E_\lambda}(\text{End}^0(X, i) \otimes_{\mathbf{Q}} \mathbf{Q}_\ell) = \dim_E(\text{End}^0(X, i)).$$

(4) If  $\text{End}^0(X, i) \otimes_{\mathbf{Q}} \mathbf{Q}_\ell = E_\lambda \text{Id}$  then  $\dim_E(\text{End}^0(X, i)) = 1$  and, in light of the inclusion  $E \cong i(E) \subset \text{End}^0(X, i)$ , we obtain that  $\text{End}^0(X, i) = i(E)$ , i.e.,  $i(E) \cong E$  is a maximal commutative subalgebra in  $\text{End}^0(X)$  and  $i(\mathcal{O}) \cong \mathcal{O}$  is a maximal commutative subring in  $\text{End}(X)$ . It follows that  $\mathfrak{C}_X \subset i(E)$  and therefore is isomorphic to a subfield of  $E$ . In particular,  $\mathfrak{C}_X$  is a field, i.e.,  $\text{End}^0(X)$  is a simple  $\mathbf{Q}$ -algebra. This means that  $X$  is isogenous to a self-product of an absolutely simple abelian variety;

(5) Suppose that  $\text{End}^0(X, i) \otimes_{\mathbf{Q}} \mathbf{Q}_\ell = \text{End}_{E_\lambda}(V_\ell(X))$ . This implies that

$$\dim_E(\text{End}^0(X, i)) = r_X^2.$$

Applying Theorem 3.1, we conclude that  $X$  is an abelian variety of CM-type isogenous to a self-product of an (absolutely) simple abelian variety. Also  $\text{End}^0((X, i))$  is a central simple  $E$ -algebra, i.e.,  $E$  coincides with the center of  $\text{End}^0((X, i))$ . Moreover, if  $\text{char}(K_a) = 0$  then  $[E : \mathbf{Q}]$  is even and there exist a  $\frac{[E : \mathbf{Q}]}{2}$ -dimensional abelian variety  $Z$ , an isogeny  $\psi : Z^r \rightarrow X$  and an embedding  $k : E \hookrightarrow \text{End}^0(Z)$  that send 1 to  $1_Z$  and such that  $\psi \in \text{Hom}^0((Z^r, k^{(r)}), (X, i))$ .

Using the inclusion  $\text{Aut}_{\mathbf{Z}_\ell}(T_\ell(X)) \subset \text{Aut}_{\mathbf{Q}_\ell}(V_\ell(X))$ , one may view  $\rho_{\ell, X}$  as the  $\ell$ -adic representation  $\rho_{\ell, X} : \text{Gal}(K) \rightarrow \text{Aut}_{\mathbf{Z}_\ell}(T_\ell(X)) \subset \text{Aut}_{\mathbf{Q}_\ell}(V_\ell(X))$ .

Since  $X$  is defined over  $K$ , one may associate with every  $u \in \text{End}(X)$  and  $\sigma \in \text{Gal}(K)$  an endomorphism  ${}^\sigma u \in \text{End}(X)$  such that  ${}^\sigma u(x) = \sigma u(\sigma^{-1}x)$  for all  $x \in X(K_a)$ . Clearly,  ${}^\sigma u = u$  if  $u \in \text{End}_K(X)$ . In particular,  ${}^\sigma e = e$  if  $e \in \mathcal{O}$  (here we identify  $\mathcal{O}$  with  $i(\mathcal{O})$ ). It follows easily that for each  $\sigma \in \text{Gal}(K)$  the map  $u \mapsto {}^\sigma u$  extends by  $\mathbf{Q}$ -linearity to a certain automorphism of  $\text{End}^0(X)$ . Clearly,  ${}^\sigma e = e$  for each  $e \in E$  and  ${}^\sigma u \in \text{End}^0(X, i)$  for each  $u \in \text{End}^0(X, i)$ .

**Remark 3.5.** The definition of  $T_\ell(X)$  as the projective limit of Galois modules  $X_{\ell^m}$  implies that  ${}^\sigma u(x) = \rho_{\ell, X}(\sigma)u\rho_{\ell, X}(\sigma)^{-1}(x)$  for all  $x \in T_\ell(X)$ . It follows easily that  ${}^\sigma u(x) = \rho_{\ell, X}(\sigma)u\rho_{\ell, X}(\sigma)^{-1}(x)$  for all  $x \in V_\ell(X)$ ,  $u \in \text{End}^0(X)$ ,  $\sigma \in \text{Gal}(K)$ . This implies that for each  $\sigma \in \text{Gal}(K)$  we have  $\rho_{\ell, X}(\sigma) \in \text{Aut}_{E_\lambda}(V_\lambda(X))$  and therefore

$$\rho_{\ell, X}(\text{Gal}(K)) \subset \text{Aut}_{E_\lambda}(V_\lambda(X))$$

[6, pp. 767–768] (see also [10]). It is also clear that  $\rho_{\ell,X}(\sigma)u\rho_{\ell,X}(\sigma)^{-1} \in \text{End}^0(X) \otimes_{\mathbf{Q}} \mathbf{Q}_{\ell}$  for all  $u \in \text{End}^0(X) \otimes_{\mathbf{Q}} \mathbf{Q}_{\ell}$  and

$$\rho_{\ell,X}(\sigma)u\rho_{\ell,X}(\sigma)^{-1} \in \text{End}^0(X, i) \otimes_{\mathbf{Q}} \mathbf{Q}_{\ell} \quad \forall u \in \text{End}^0(X, i) \otimes_{\mathbf{Q}} \mathbf{Q}_{\ell}.$$

We refer to [15, 16, 19, 21] for a discussion of the following definition.

**Definition 3.6.** Let  $V$  be a vector space over a field  $\mathbf{F}$ , let  $G$  be a group and  $\rho : G \rightarrow \text{Aut}_{\mathbf{F}}(V)$  a linear representation of  $G$  in  $V$ . We say that the  $G$ -module  $V$  is *very simple* if it enjoys the following property:

If  $R \subset \text{End}_{\mathbf{F}}(V)$  is an  $\mathbf{F}$ -subalgebra containing the identity operator  $\text{Id}$  such that  $\rho(\sigma)R\rho(\sigma)^{-1} \subset R \quad \forall \sigma \in G$  then either  $R = \mathbf{F} \cdot \text{Id}$  or  $R = \text{End}_{\mathbf{F}}(V)$ .

**Remarks 3.7.**

- (i) If  $G'$  is a subgroup of  $G$  and the  $G'$ -module  $V$  is very simple then obviously the  $G$ -module  $V$  is also very simple.
- (ii) Clearly, the  $G$ -module  $V$  is very simple if and only if the corresponding  $\rho(G)$ -module  $V$  is very simple. This implies easily that if  $H \twoheadrightarrow G$  is a surjective group homomorphism then the  $G$ -module  $V$  is very simple if and only if the corresponding  $H$ -module  $V$  is very simple.
- (iii) Let  $G'$  be a normal subgroup of  $G$ . If  $V$  is a very simple  $G$ -module then either  $\rho(G') \subset \text{Aut}_k(V)$  consists of scalars (i.e., lies in  $k \cdot \text{Id}$ ) or the  $G'$ -module  $V$  is absolutely simple. See [19, Remark 5.2(iv)].
- (iv) Suppose  $F$  is a discrete valuation field with valuation ring  $\mathcal{O}_F$ , maximal ideal  $m_F$  and residue field  $k = \mathcal{O}_F/m_F$ . Suppose  $V_F$  a finite-dimensional  $F$ -vector space,  $\rho_F : G \rightarrow \text{Aut}_F(V_F)$  a  $F$ -linear representation of  $G$ . Suppose  $T$  is a  $G$ -stable  $\mathcal{O}_F$ -lattice in  $V_F$  and the corresponding  $k[G]$ -module  $T/m_F T$  is isomorphic to  $V$ . Assume that the  $G$ -module  $V$  is very simple. Then the  $G$ -module  $V_F$  is also very simple. See [19, Remark 5.2(v)].

**Theorem 3.8.** Suppose that  $X$  is an abelian variety defined over  $K$  and  $i(\mathcal{O}) \subset \text{End}_K(X)$ . Let  $\ell$  be a prime different from  $\text{char}(K)$ . Suppose that  $\lambda$  is the only maximal ideal dividing  $\ell$  in  $\mathcal{O}$ . Suppose that the natural representation in the  $k(\lambda)$ -vector space  $X_{\lambda}$  is very simple. Then  $\text{End}^0(X, i)$  enjoys one of the following two properties:

- (1)  $\text{End}^0(X, i) = i(E)$ , i.e.,  $i(E) \cong E$  is a maximal commutative subalgebra in  $\text{End}^0(X)$  and  $i(\mathcal{O}) \cong \mathcal{O}$  is a maximal commutative subring in  $\text{End}(X)$ . In particular,  $i(E)$  contains the center of  $\text{End}^0(X)$
- (2) The following two conditions are fulfilled:

(2a)  $\text{End}^0(X, i)$  is a central simple  $E$ -algebra of dimension  $r_X^2$  and  $X$  is an abelian variety of CM-type over  $K_a$ .

(2b) If  $\text{char}(K) = 0$  then  $[E : \mathbf{Q}]$  is even and there exist a  $\frac{[E : \mathbf{Q}]}{2}$ -dimensional abelian variety  $Z$ , an isogeny  $\psi : Z^r \rightarrow X$  and an embedding  $k : E \hookrightarrow \text{End}^0(Z)$  that sends 1 to  $1_Z$  and such that  $\psi \in \text{Hom}^0((Z^r, k^{(r)}), (X, i))$ . In addition,  $X$  is defined over a number field. If  $\text{char}(K) > 0$  then  $X$  is isogenous to an abelian variety defined over a finite field.

*Proof.* In light of 3.7(ii), the  $\text{Gal}(K)$ -module  $X_\lambda$  is very simple. In light of 3.7(iv) and Remark 3.5,  $\rho_{\ell, X} : \text{Gal}(K) \rightarrow \text{Aut}_{E_\lambda}(V_\ell(X))$  is also very simple. Let us put  $R = \text{End}^0(X, i) \otimes_{\mathbf{Q}} \mathbf{Q}_\ell$ . It follows from Remark 3.5 that either  $R = E_\lambda \text{Id}$  or  $R = \text{End}_{E_\lambda}(V_\ell(X))$ . Now the result follows readily from Remarks 3.4 and 3.2.  $\square$

Let  $Y$  be an abelian variety of positive dimension over  $K_a$  and  $u$  a non-zero endomorphism of  $Y$ . Let us consider the abelian (sub)variety  $Z = u(Y) \subset Y$ .

**Remark 3.9.** Suppose that  $Y$  is defined over  $K$  and  $u \in \text{End}_K(Y)$ . Clearly,  $Z$  and the inclusion map  $Z \subset Y$  are defined over  $K_a^{\text{Gal}(K)}$ , i.e.,  $Z$  and  $Z \subset Y$  are defined over a purely inseparable extension of  $K$ . By a Theorem of Chow [2, Th. 5 on p. 26],  $Z$  is defined over  $K$ . Clearly, the graph of  $Z \subset Y$  is an abelian subvariety of  $Z \times Y$  defined over a purely inseparable extension of  $K$ . By the same Theorem of Chow, this graph is also defined over  $K$  and therefore  $Z \subset Y$  is defined over  $K$ .

**Theorem 3.10.** Let  $Y$  be an abelian variety of positive dimension over  $K_a$  and  $\delta$  an automorphism of  $Y$ . Suppose that the induced  $K_a$ -linear operator  $\delta^* : \Omega^1(Y) \rightarrow \Omega^1(Y)$  is diagonalizable. Let  $S$  be the set of eigenvalues of  $\delta^*$  and  $\text{mult}_Y : S \rightarrow \mathbf{Z}_+$  the integer-valued function which assigns to each eigenvalue its multiplicity.

Suppose that  $P(t)$  is a polynomial with integer coefficients such that  $u = P(\delta)$  is a non-zero endomorphism of  $Y$ . Let us put  $Z = u(Y)$ . Clearly,  $Z$  is  $\delta$ -invariant and we write  $\delta_Z : Z \rightarrow Z$  for the corresponding automorphism of  $Z$  (i.e., for the restriction of  $\delta$  to  $Z$ ). Suppose that

$$\dim(Z) = \sum_{\lambda \in S, P(\lambda) \neq 0} \text{mult}_Y(\lambda).$$

Then the spectrum of  $\delta_Z^* : \Omega^1(Z) \rightarrow \Omega^1(Z)$  coincides with  $S_P = \{\lambda \in S, P(\lambda) \neq 0\}$  and the multiplicity of an eigenvalue  $\lambda$  of  $\delta_Z^*$  equals  $\text{mult}_Y(\lambda)$ .

*Proof.* Clearly,  $u$  commutes with  $\delta$ . We write  $v$  for the (surjective) homomorphism  $Y \twoheadrightarrow Z$  induced by  $u$  and  $j$  for the inclusion map  $Z \subset Y$ . Notice that  $u : Y \rightarrow Y$  splits into a composition  $Y \xrightarrow{v} Z \xrightarrow{j} Y$ , i.e.,  $u = jv$ . Clearly,

$$\delta_Z v = v\delta \in \text{Hom}(Y, Z), \quad j\delta_Z = \delta j \in \text{Hom}(Z, Y), \quad u = jv \in \text{End}(Y), \quad u\delta = \delta u \in \text{End}(Y).$$

It is also clear that the induced map  $u^* : \Omega^1(Y) \rightarrow \Omega^1(Y)$  coincides with  $P(\delta^*)$ . It follows that  $u^*(\Omega^1(Y)) = P(\delta^*)(\Omega^1(Y))$  has dimension

$$\sum_{\lambda \in S, P(\lambda) \neq 0} \text{mult}_Y(\lambda) = \dim(Y)$$

and coincides with  $\bigoplus_{\lambda \in S, P(\lambda) \neq 0} W_\lambda$  where  $W_\lambda$  is the eigenspace of  $\delta$  attached to eigenvalue  $\lambda$ . Since  $u^* = v^* j^*$ , we have  $u^*(\Omega^1(Y)) = v^* j^*(\Omega^1(Y)) \subset v^*(\Omega^1(Z))$ . Since  $\dim(u^*(\Omega^1(Y))) = \dim(Y) = \dim(\Omega^1(Z)) \geq \dim(v^*(\Omega^1(Z)))$ , the subspace  $u^*(\Omega^1(Y)) = v^*(\Omega^1(Z))$  and  $v^* : \Omega^1(Z) \hookrightarrow \Omega^1(Y)$ . It follows that if we denote by  $w$  the isomorphism  $v^* : \Omega^1(Z) \cong v^*(\Omega^1(Z))$  and by  $\gamma$  the restriction of  $\delta^*$  to  $v^*(\Omega^1(Z))$  then  $\gamma w = w\delta_Y^*$  and therefore  $\gamma = w\delta_Y^* w^{-1}$ .  $\square$

#### 4. CYCLIC COVERS AND JACOBIANS

Throughout this paper we fix a prime number  $p$  and an integral power  $q = p^r$  and assume that  $K$  is a field of characteristic different from  $p$ . We fix an algebraic closure  $K_a$  and write  $\text{Gal}(K)$  for the absolute Galois group  $\text{Aut}(K_a/K)$ . We also fix in  $K_a$  a primitive  $q$ th root of unity  $\zeta$ .

Let  $f(x) \in K[x]$  be a separable polynomial of degree  $n \geq 4$ . We write  $\mathfrak{R}_f$  for the set of its roots and denote by  $L = L_f = K(\mathfrak{R}_f) \subset K_a$  the corresponding splitting field. As usual, the Galois group  $\text{Gal}(L/K)$  is called the Galois group of  $f$  and denoted by  $\text{Gal}(f)$ . Clearly,  $\text{Gal}(f)$  permutes elements of  $\mathfrak{R}_f$  and the natural map of  $\text{Gal}(f)$  into the group  $\text{Perm}(\mathfrak{R}_f)$  of all permutations of  $\mathfrak{R}_f$  is an embedding. We will identify  $\text{Gal}(f)$  with its image and consider it as a permutation group of  $\mathfrak{R}_f$ . Clearly,  $\text{Gal}(f)$  is transitive if and only if  $f$  is irreducible in  $K[x]$ . Further, we assume that either  $p$  does not divide  $n$  or  $q$  does divide  $n$ .

If  $p$  does not divide  $n$  then we write (as in [17, §3])

$$V_{f,p} := (\mathbf{F}_p^{\mathfrak{R}_f})^{00} = (\mathbf{F}_p^{\mathfrak{R}_f})^0$$

for the  $(n-1)$ -dimensional  $\mathbf{F}_p$ -vector space of functions  $\{\phi : \mathfrak{R}_f \rightarrow \mathbf{F}_p, \sum_{\alpha \in \mathfrak{R}_f} \phi(\alpha) = 0\}$  provided with a natural action of the permutation group  $\text{Gal}(f) \subset \text{Perm}(\mathfrak{R}_f)$ . It is the *heart* over the field  $\mathbf{F}_p$  of the group  $\text{Gal}(f)$  acting on the set  $\mathfrak{R}_f$  [3, 17].

**Remark 4.1.** If  $p$  does not divide  $n$  and  $\text{Gal}(f) = \mathbf{S}_n$  or  $\mathbf{A}_n$  then the  $\text{Gal}(f)$ -module  $V_{f,p}$  is very simple. See [17, lemma 3.5].

Let  $C = C_{f,q}$  be the smooth projective model of the smooth affine  $K$ -curve  $y^q = f(x)$ . So  $C$  is a smooth projective curve defined over  $K$ . The rational function  $x \in K(C)$  defines a finite cover  $\pi : C \rightarrow \mathbf{P}^1$  of degree  $p$ . Let  $B' \subset C(K_a)$  be the set of ramification points. Clearly, the restriction of  $\pi$  to  $B'$  is an injective map  $B' \hookrightarrow \mathbf{P}^1(K_a)$ , whose image is the disjoint union of  $\infty$  and  $\mathfrak{R}_f$  if  $p$  does not divide  $\deg(f)$  and just  $\mathfrak{R}_f$  if it does. We write

$$B = \pi^{-1}(\mathfrak{R}_f) = \{(\alpha, 0) \mid \alpha \in \mathfrak{R}_f\} \subset B' \subset C(K_a).$$

Clearly,  $\pi$  is ramified at each point of  $B$  with ramification index  $q$ . We have  $B' = B$  if  $n$  is divisible by  $q$ . If  $n$  is not divisible by  $p$  then  $B'$  is the disjoint union of  $B$  and a single point  $\infty' := \pi^{-1}(\infty)$ . In addition, the ramification index of  $\pi$  at  $\pi^{-1}(\infty)$  is also  $q$ . Using Hurwitz's formula, one may easily compute the genus  $g = g(C) = g(C_{f,q})$  of  $C$  ([1, pp. 401–402], [13, proposition 1 on p. 3359], [7, p. 148]). Namely,  $g$  is  $(q-1)(n-1)/2$  if  $p$  does not divide  $n$  and  $(q-1)(n-2)/2$  if  $q$  divides  $n$ .

**Remark 4.2.** Assume that  $p$  does not divide  $n$  and consider the plane triangle (Newton polygon)

$$\Delta_{n,q} := \{(j, i) \mid 0 \leq j, \quad 0 \leq i, \quad qj + ni \leq nq\}$$

with the vertices  $(0, 0)$ ,  $(0, q)$  and  $(n, 0)$ . Let  $L_{n,q}$  be the set of integer points in the interior of  $\Delta_{n,q}$ . One may easily check that  $g = (q-1)(n-1)/2$  coincides with the number of elements of  $L_{n,q}$ . It is also clear that for each  $(j, i) \in L_{n,q}$

$$1 \leq j \leq n-1; \quad 1 \leq i \leq q-1; \quad q(j-1) + (j+1) \leq n(q-i).$$

Elementary calculations ([1, theorem 3 on p. 403]) show that

$$\omega_{j,i} := x^{j-1}dx/y^{q-i} = x^{j-1}y^i dx/y^q = x^{j-1}y^{i-1}dx/y^{q-1}$$

is a differential of the first kind on  $C$  for each  $(j, i) \in L_{n,q}$ . This implies easily that the collection  $\{\omega_{j,i}\}_{(j,i) \in L_{n,q}}$  is a basis in the space of differentials of the first kind on  $C$ .

There is a non-trivial birational  $K_a$ -automorphism of  $C$

$$\delta_q : (x, y) \mapsto (x, \zeta y).$$

Clearly,  $\delta_q^q$  is the identity map and the set of fixed points of  $\delta_q$  coincides with  $B'$ .

**Remark 4.3.** Let us assume that  $n = \deg(f)$  is divisible by  $q$  say,  $n = qm$  for some positive integer  $m$ . Let  $\alpha \in K_a$  be a root of  $f$  and  $K_1 = K(\alpha)$  be the corresponding subfield of  $K_a$ . We have  $f(x) = (x - \alpha)f_1(x)$  with  $f_1(x) \in K_1[x]$ . Clearly,  $f_1(x)$  is a separable polynomial over  $K_1$  of degree  $qm - 1 = n - 1 \geq 4$ . It is also clear that the polynomials  $h(x) = f_1(x + \alpha), h_1(x) = x^{n-1}h(1/x) \in K_1[x]$  are separable of the same degree  $qm - 1 = n - 1 \geq 4$ . The standard substitution  $x_1 = 1/(x - \alpha), y_1 = y/(x - \alpha)^m$  establishes a birational isomorphism between  $C_{f,p}$  and a curve  $C_{h_1} : y_1^q = h_1(x_1)$  (see [13, p. 3359]). In particular, the jacobians of  $C_f$  and  $C_{h_1}$  are isomorphic over  $K_a$  (and even over  $K_1$ ). But  $\deg(h_1) = qm - 1$  is not divisible by  $p$ . Clearly, this isomorphism commutes with the actions of  $\delta_q$ . Notice also that if the Galois group of  $f$  over  $K$  is  $\mathbf{S}_n$  (resp.  $\mathbf{A}_n$ ) then the Galois group of  $h_1$  over  $K_1$  is  $\mathbf{S}_{n-1}$  (resp.  $\mathbf{A}_{n-1}$ ).

**Remark 4.4.** (i) It is well-known that  $\dim_{K_a}(\Omega^1(C_{(f,q)})) = g(C_{f,q})$ . By functoriality,  $\delta_q$  induces on  $\Omega^1(C_{(f,q)})$  a certain  $K_a$ -linear automorphism  $\delta_q^* : \Omega^1(C_{(f,q)}) \rightarrow \Omega^1(C_{(f,q)})$ . Clearly, if for some positive integer  $j$  the differential  $\omega_{j,i} = x^{j-1}dx/y^{q-i}$  lies in  $\Omega^1(C_{(f,q)})$  then it is an eigenvector of  $\delta_q^*$  with eigenvalue  $\zeta^i$ .

(ii) Now assume that  $p$  does not divide  $n$ . It follows from Remark 4.2 that the collection  $\{\omega_{j,i} = x^{j-1}dx/y^{q-i} \mid (i, j) \in L_{n,q}\}$  is an eigenbasis of  $\Omega^1(C_{(f,q)})$ . This implies that the multiplicity of the eigenvalue  $\zeta^{-i}$  of  $\delta_q^*$  coincides with the number of interior integer points in  $\Delta_{n,q}$  along the corresponding (to  $q - i$ ) horizontal line. Elementary calculations show that this number is  $\left[ \frac{ni}{q} \right]$ ; in particular,  $\zeta^{-i}$  is an eigenvalue if and only if  $\left[ \frac{ni}{q} \right] > 0$ . Taking into account that  $n \geq 4$  and  $q = p^r$ , we conclude that  $\zeta^i$  is an eigenvalue of  $\delta_q^*$  for each integer  $i$  with  $p^r - p^{r-1} \leq i \leq p^r - 1 = q - 1$ . It also follows easily that 1 is not an eigenvalue of  $\delta_q^*$ . This implies that

$$\mathcal{P}_q(\delta_q^*) = \delta_q^{*q-1} + \cdots + \delta_q^* + 1 = 0$$

in  $\text{End}_K(\Omega^1(C_{(f,q)}))$ . In addition, one may easily check that if  $\mathcal{H}(t)$  is a polynomial with rational coefficients such that  $\mathcal{H}(\delta_q^*) = 0$  in  $\text{End}_K(\Omega^1(C_{(f,q)}))$  then  $\mathcal{H}(t)$  is divisible by  $\mathcal{P}_q(t)$  in  $\mathbf{Q}[t]$ .

Let  $J(C_{f,q}) = J(C) = J(C_{f,q})$  be the jacobian of  $C$ . It is a  $g$ -dimensional abelian variety defined over  $K$  and one may view (via Albanese functoriality)  $\delta_q$  as

an element of  $\text{Aut}(C) \subset \text{Aut}(J(C)) \subset \text{End}(J(C))$  such that  $\delta_q \neq \text{Id}$  but  $\delta_q^q = \text{Id}$  where  $\text{Id}$  is the identity endomorphism of  $J(C)$ . We write  $\mathbf{Z}[\delta_q]$  for the subring of  $\text{End}(J(C))$  generated by  $\delta_q$ .

**Remark 4.5.** Assume that  $p$  does not divide  $n$ . Let  $P_0$  be one of the  $\delta_q$ -invariant points (i.e., a ramification point for  $\pi$ ) of  $C_{f,p}(K_a)$ . Then

$$\tau : C_{f,q} \rightarrow J(C_{f,q}), \quad P \mapsto \text{cl}((P) - (P_0))$$

is an embedding of complex algebraic varieties and it is well-known that the induced map  $\tau^* : \Omega^1(J(C_{f,q})) \rightarrow \Omega^1(C_{f,q})$  is an isomorphism obviously commuting with the actions of  $\delta_q$ . (Here  $\text{cl}$  stands for the linear equivalence class.) This implies that  $n_{\sigma_i}$  coincides with the dimension of the eigenspace of  $\Omega^1(C_{(f,q)})$  attached to the eigenvalue  $\zeta^{-i}$  of  $\delta_q^*$ . Applying Remark 4.4, we conclude that if  $\mathcal{H}(t)$  is a monic polynomial with integer coefficients such that  $\mathcal{H}(\delta_q) = 0$  in  $\text{End}(J^{(f,q)})$  then  $\mathcal{H}(t)$  is divisible by  $\mathcal{P}_q(t)$  in  $\mathbf{Q}[t]$  and therefore in  $\mathbf{Z}[t]$ .

**Remark 4.6.** Assume that  $p$  does not divide  $n$ . Clearly, the set  $S$  of eigenvalues  $\lambda$  of  $\delta_q^* : \Omega^1(J(C_{f,q})) \rightarrow \Omega^1(J(C_{f,q}))$  with  $\mathcal{P}_{q/p}(\lambda) \neq 0$  consists of primitive  $q$ th roots of unity  $\zeta^{-i}$  ( $1 \leq i < q$ ,  $(i, p) = 1$ ) with  $\left[ \frac{ni}{q} \right] > 0$  and the multiplicity of  $\zeta^{-i}$  equals  $\left[ \frac{ni}{q} \right]$ , thanks to Remarks 4.5 and 4.4. Let us compute the sum

$$M = \sum_{1 \leq i < q, (i, p) = 1} \left[ \frac{ni}{q} \right]$$

of multiplicities of eigenvalues from  $S$ .

First, assume that  $q > 2$ . Then  $\varphi(q) = (p-1)p^{r-1}$  is even and for each (index)  $i$  the difference  $q - i$  is also prime to  $p$ , lies between 1 and  $q$  and

$$\left[ \frac{ni}{q} \right] + \left[ \frac{n(q-i)}{q} \right] = n-1.$$

It follows easily that

$$M = (n-1) \frac{\varphi(q)}{2} = \frac{(n-1)(p-1)p^{r-1}}{2}.$$

Now assume that  $q = p = 2$  and therefore  $r = 1$ . Then  $n$  is odd,  $C_{f,q} = C_{f,2} : y^2 = f(x)$  is a hyperelliptic curve of genus  $g = \frac{n-1}{2}$  and  $\delta_2$  is the hyperelliptic involution  $(x, y) \mapsto (x, -y)$ . It is well-known that the differentials  $x^i \frac{dx}{y}$  ( $0 \leq i \leq g-1$ ) constitute a basis of the  $g$ -dimensional  $\Omega^1(J(C_{f,2}))$ . It follows that  $\delta_2^*$  is just multiplication by  $-1$ . Therefore

$$M = g = \frac{n-1}{2} = \frac{(n-1)(p-1)p^{r-1}}{2}.$$

Clearly, if the abelian (sub)variety  $Z := \mathcal{P}_{q/p}(\delta_q)(J(C_{f,q}))$  has dimension  $M$  then the data  $Y = J(C_{f,q}), \delta = \delta_q, P = \mathcal{P}_{q/p}(t)$  satisfy the conditions of Theorem 3.10.

**Lemma 4.7.** *Assume that  $p$  does not divide  $n$ . Let  $D = \sum_{P \in B} a_P(P)$  be a divisor on  $C = C_{f,p}$  with degree 0 and support in  $B$ . Then  $D$  is principal if and only if all the coefficients  $a_P$  are divisible by  $q$ .*

*Proof.* Suppose  $D = \text{div}(h)$  where  $h \in K_a(C)$  is a non-zero rational function of  $C$ . Since  $D$  is  $\delta_q$ -invariant, the rational function  $\delta_q^*h := h\delta_q$  coincides with  $c \cdot h$  for some non-zero  $c \in K_a$ . It follows easily from the  $\delta_q$ -invariance of the splitting  $K_a(C) = \bigoplus_{i=0}^{q-1} y^i \cdot K_a(x)$  that  $h = y^i \cdot u(x)$  for some non-zero rational function  $u(x) \in K_a(x)$  and a non-negative integer  $i \leq q-1$ . It follows easily that all finite zeros and poles of  $u(x)$  lie in  $B$ , i.e., there exists an integer-valued function  $b$  on  $\mathfrak{R}_f$  such that  $u$  coincides, up to multiplication by a non-zero constant, to  $\prod_{\alpha \in \mathfrak{R}_f} (x - \alpha)^{b(\alpha)}$ . Notice that  $\text{div}(y) = \sum_{P \in B} (P) - n(\infty)$ . On the other hand, for each  $\alpha \in \mathfrak{R}_f$ , we have  $P_\alpha = (\alpha, 0) \in B$  and the corresponding divisor  $\text{div}(x - \alpha) = q((\alpha, 0)) - q(\infty) = q(P_\alpha) - q(\infty)$  is divisible by  $q$ . This implies that  $a_{P_\alpha} = q \cdot b(\alpha) + i$ . Also, since  $\infty$  is neither zero nor pole of  $h$ , we get the equality  $0 = ni + \sum_{\alpha \in \mathfrak{R}_f} b(\alpha)q$ . Since  $n$  and  $q$  are relatively prime,  $i$  must divide  $q$ . This implies that  $i = 0$  and therefore the divisor  $D = \text{div}(u(x)) = \text{div}(\prod_{\alpha \in \mathfrak{R}_f} (x - \alpha)^{b(\alpha)})$  is divisible by  $q$ .

Conversely, suppose a divisor  $D = \sum_{P \in B} a_P(P)$  with  $\sum_{P \in B} a_P = 0$  and all  $a_P$  are divisible by  $q$ . Let us put  $h = \prod_{P \in B} (x - x(P))^{a_P/q}$ . One may easily check that  $D = \text{div}(h)$ .  $\square$

**Lemma 4.8.**  $1 + \delta_q + \cdots + \delta_q^{q-1} = 0$  in  $\text{End}(J(C_{f,q}))$ . The subring  $\mathbf{Z}[\delta_q] \subset \text{End}(J(C_{f,q}))$  is isomorphic to the ring  $\mathbf{Z}[t]/\mathcal{P}_q(t)\mathbf{Z}[t]$ . The  $\mathbf{Q}$ -subalgebra  $\mathbf{Q}[\delta_q] \subset \text{End}^0(J(C_{f,q})) = \text{End}^0(J(C_{f,q}))$  is isomorphic to  $\mathbf{Q}[t]/\mathcal{P}_q(t)\mathbf{Q}[t] = \prod_{i=1}^r \mathbf{Q}(\zeta_{p^i})$ .

*Proof.* If  $q = p$  is a prime this assertion is proven in [7, p. 149], [8, p. 458]. So, further we may assume that  $q > p$ . It follows from Remark 4.3 that we may assume that  $p$  does not divide  $n$ .

Now we follow arguments of [8, p. 458] (where the case of  $q = p$  was treated). The group  $J(C_{f,q})(K_a)$  is generated by divisor classes of the form  $(P) - (\infty)$  where  $P$  is a finite point on  $C_{f,p}$ . The divisor of the rational function  $x - x(P)$  is  $(\delta_q^{q-1}P) + \cdots + (\delta_q P) + (P) - q(\infty)$ . This implies that  $\mathcal{P}_q(\delta_q) = 0 \in \text{End}(J(C_{f,q}))$ .

Applying Remark 4.5(ii), we conclude that  $\mathcal{P}_q(t)$  is the minimal polynomial of  $\delta_q$  in  $\text{End}(J(C_{f,q}))$ .  $\square$

Let us define the abelian (sub)variety

$$J^{(f,q)} := \mathcal{P}_{q/p}(\delta_q)(J(C_{f,q})) \subset J(C_{f,q}).$$

Clearly,  $J^{(f,q)}$  is a  $\delta_q$ -invariant abelian subvariety defined over  $K(\zeta_q)$ . In addition,  $\Phi_q(\delta_q)(J^{(f,q)}) = 0$ .

**Remark 4.9.** If  $q = p$  then  $\mathcal{P}_{q/p}(t) = \mathcal{P}_1(t) = 1$  and therefore  $J^{(f,p)} = J(C_{f,p})$ .

**Remark 4.10.** Since the polynomials  $\Phi_q$  and  $\mathcal{P}_{q/p}$  are relatively prime, the homomorphism  $\mathcal{P}_{q/p}(\delta_q) : J^{(f,q)} \rightarrow J^{(f,q)}$  has finite kernel and therefore is an isogeny. In particular, it is surjective.

**Lemma 4.11.** *Suppose that  $p$  does not divide  $n$ . Then*

$$\dim(J^{(f,q)}) = \frac{(p^r - p^{r-1})(n-1)}{2}$$

and there is an  $K(\zeta)$ -isogeny  $J(C_{f,q}) \rightarrow J(C_{f,q/p}) \times J^{(f,q)}$ . In addition, if  $\zeta \in K$  then the Galois modules  $V_{f,p}$  and  $(J^{(f,q)})^{\delta_q} := \{z \in J^{(f,q)}(K_a) \mid \delta_q(z) = z\}$  are isomorphic.

*Proof.* Clearly, we may assume that  $\zeta \in K$ . Let us consider the curve  $C_{f,q/p} : y_1^{q/p} = f(x_1)$  and a regular surjective map  $\pi_1 : C_{f,q} \rightarrow C_{f,q/p}$ ,  $x_1 = x, y_1 = y^p$ . Clearly,  $\pi_1 \delta_q = \delta_{q/p} \pi_1$ . By Albanese functoriality,  $\pi_1$  induces a certain surjective homomorphism of jacobians  $J(C_{f,q}) \rightarrow J(C_{f,q/p})$  which we continue to denote by  $\pi_1$ . Clearly, the equality  $\pi_1 \delta_q = \delta_{q/p} \pi_1$  remains true in  $\text{Hom}(J(C_{f,q}), J(C_{f,q/p}))$ . By Lemma 4.8,  $\mathcal{P}_{q/p}(\delta_{q/p}) = 0 \in \text{End}(J(C_{f,q/p}))$ . It follows from Lemma 4.10 that  $\pi_1(J^{(f,q)}) = 0$  and therefore  $\dim(J^{(f,q)})$  does not exceed

$$\dim(J(C_{f,q})) - \dim(J(C_{f,q/p})) = \frac{(p^r - 1)(n-1)}{2} - \frac{(p^{r-1} - 1)(n-1)}{2} = \frac{(p^r - p^{r-1})(n-1)}{2}.$$

By definition of  $J^{(f,q)}$ , for each divisor  $D = \sum_{P \in B} a_P(P)$  the linear equivalence class of  $\$p^{r-1}D = \sum_{P \in B} p^{r-1}a_P(P)$  lies in  $(J^{(f,q)})^{\delta_q} \subset J^{(f,q)}(K_a) \subset J(C_{f,q})(K_a)$ . It follows from Lemma 4.7 that the class of  $p^{r-1}D$  is zero if and only if all  $p^{r-1}a_P$  are divisible by  $q = p^r$ , i.e. all  $a_P$  are divisible by  $p$ . This implies that the set of linear equivalence classes of  $p^{r-1}D$  is a Galois submodule isomorphic to  $V_{f,p}$ . We want to prove that  $(J^{(f,q)})^{\delta_q} = V_{f,p}$ .

Recall that  $J^{(f,q)}$  is  $\delta_q$ -invariant and the restriction of  $\delta_q$  to  $J^{(f,q)}$  satisfies the  $q$ th cyclotomic polynomial. This allows us to define the homomorphism  $\mathbf{Z}[\zeta_q] \rightarrow \text{End}(J^{(f,q)})$  that sends 1 to the identity map and  $\zeta_q$  to  $\delta_q$ . Let us put  $E = \mathbf{Q}(\zeta_q)$ ,  $\mathcal{O} = \mathbf{Z}[\zeta_q] \subset \mathbf{Q}(\zeta_q) = E$ . It is well-known that  $\mathcal{O}$  is the ring of integers in  $E$ , the ideal  $\lambda = (1 - \zeta_q)\mathbf{Z}[\zeta_q] = (1 - \zeta_q)\mathcal{O}$  is maximal in  $\mathcal{O}$  with  $\mathcal{O}/\lambda = \mathbf{F}_p$  and  $\mathcal{O} \otimes \mathbf{Z}_p = \mathbf{Z}_p[\zeta_q]$  is the ring of integers in the field  $\mathbf{Q}_p(\zeta_q)$ . Notice also that  $\mathcal{O} \otimes \mathbf{Z}_p$  coincides with the completion  $\mathcal{O}_\lambda$  of  $\mathcal{O}$  with respect to the  $\lambda$ -adic topology and  $\mathcal{O}_\lambda/\lambda\mathcal{O}_\lambda = \mathcal{O}/\lambda = \mathbf{F}_p$ .

It follows from Lemma 3.3 that

$$d = \frac{2\dim(J^{(f,q)})}{[E : \mathbf{Q}]} = \frac{2\dim(J^{(f,q)})}{p^r - p^{r-1}}$$

is a positive integer, the  $\mathbf{Z}_p$ -Tate module  $T_p(J^{(f,q)})$  is a free  $\mathcal{O}_\lambda$ -module of rank  $d$ . Using the displayed formula (5) from §3, we conclude that

$$(J^{(f,q)})^{\delta_q} = \{u \in J^{(f,q)}(K_a) \mid (1 - \delta_q)(u) = 0\} = J_\lambda^{f,q} = T_p(J^{f,q}) \otimes_{\mathcal{O}_\lambda} \mathbf{F}_p$$

is a  $d$ -dimensional  $\mathbf{F}_p$ -vector space. Since  $(J^{(f,q)})^{\delta_q}$  contains  $(n - 1)$ -dimensional  $\mathbf{F}_p$ -vector space  $V_{f,p}$ , we have  $d \geq n - 1$ . This implies that

$$2\dim(J^{(f,q)}) = d(p^r - p^{r-1}) \geq (n - 1)(p^r - p^{r-1})$$

and therefore

$$\dim(J^{(f,q)}) \geq \frac{(n - 1)(p^r - p^{r-1})}{2}.$$

But we have already seen that

$$\dim(J^{(f,q)}) \leq \frac{(n - 1)(p^r - p^{r-1})}{2}.$$

This implies that

$$\dim(J^{(f,q)}) = \frac{(n - 1)(p^r - p^{r-1})}{2}.$$

It follows that  $d = n - 1$  and therefore  $(J^{(f,q)})^{\delta_q} = V_{f,p}$ . Dimension arguments imply that  $J^{(f,q)}$  coincides with the identity component of  $\ker(\pi_1)$  and therefore there is an isogeny between  $J(C_{f,q})$  and  $J(C_{f,q/p}) \times J^{(f,q)}$ .  $\square$

**Corollary 4.12.** *If  $p$  does not divide  $n$  then there is a  $K(\zeta_q)$ -isogeny  $J(C_{f,q}) \rightarrow J(C_{f,p}) \times \prod_{i=2}^r J^{(f,p^i)} = \prod_{i=1}^r J^{(f,p^i)}$ .*

*Proof.* Combine Corollary 4.11(ii) and Remark 4.9 with easy induction on  $r$ .  $\square$

**Remark 4.13.** Suppose that  $p$  does not divide  $n$  and consider the induced linear operator  $\delta_q^* : \Omega^1(J^{(f,q)}) \rightarrow \Omega^1(J^{(f,q)})$ . It follows from Theorem 3.10 combined with Remark 4.6 that its spectrum consists of primitive  $q$ th roots of unity  $\zeta^{-i}$  ( $1 \leq i < q$ ) with  $[ni/q] > 0$  and the multiplicity of  $\zeta^{-i}$  equals  $[ni/q]$ .

**Theorem 4.14.** Suppose that  $n \geq 5$  is an integer. Let  $p$  be a prime,  $r \geq 1$  an integer and  $q = p^r$ . Suppose that  $p$  does not divide  $n$ . Suppose that  $K$  is a field of characteristic different from  $p$  containing a primitive  $q$ th root of unity  $\zeta$ . Let  $f(x) \in K[x]$  be a separable polynomial of degree  $n$  and  $\text{Gal}(f)$  its Galois group. Suppose that the  $\text{Gal}(f)$ -module  $V_{f,p}$  is very simple. Then the image  $\mathcal{O}$  of  $\mathbf{Z}[\delta_q] \rightarrow \text{End}(J^{(f,q)})$  is isomorphic to  $\mathbf{Z}[\zeta_q]$  and enjoys one of the following two properties.

- (i)  $\mathcal{O}$  is a maximal commutative subring in  $\text{End}(J^{(f,q)})$ ;
- (ii)  $\text{char}(K) > 0$  and the centralizer of  $\mathcal{O} \otimes \mathbf{Q} \cong \mathbf{Q}(\zeta_q)$  in  $\text{End}^0(J^{(f,q)})$  is a central simple  $(n-1)^2$ -dimensional  $\mathbf{Q}(\zeta_q)$ -algebra. In addition,  $J^{(f,q)}$  is an abelian variety of CM-type isogenous to a self-product of an absolutely simple abelian variety. Also  $J^{(f,q)}$  is isogenous to an abelian variety defined over a finite field.

*Proof.* Clearly,  $\mathcal{O}$  is isomorphic to  $\mathbf{Z}[\zeta_q]$ . Let us put  $\lambda = (1 - \zeta_q)\mathbf{Z}[\zeta_q]$ . By Lemma 4.11(iii), the Galois module  $(J^{(f,q)})^{\delta_q} = J_\lambda^{(f,q)}$  is isomorphic to  $V_{f,p}$ . Applying Theorem 3.8, we conclude that either (ii) holds true or one of the following conditions hold:

- (a)  $\mathcal{O}$  is a maximal commutative subring in  $\text{End}(J^{(f,q)})$ ;
- (b)  $\text{char}(K) = 0$  and there exist a  $\varphi(q)/2$ -dimensional abelian variety  $Z$  over  $K_a$ , an embedding  $\mathbf{Q}(\zeta_q) \hookrightarrow \text{End}^0(Z)$  that sends 1 to  $1_Z$  and a  $\mathbf{Q}(\zeta_q)$ -equivariant isogeny  $\psi : Z^{n-1} \rightarrow J^{(f,q)}$ .

Clearly, if (a) is fulfilled then we are done. Also if  $q = 2$  then  $\varphi(q)/2 = 1/2$  is not an integer and therefore (b) is not fulfilled, i.e. (a) is fulfilled.

So further we assume that  $q > 2$  and (b) holds true. In particular,  $\text{char}(K) = 0$ . We need to arrive to a contradiction.

Since  $\text{char}(K) = 0$ , the isogeny  $\psi$  induces an isomorphism  $\psi^* : \Omega^1((J^{(f,q)})) \cong \Omega^1(Z^{n-1})$  that commutes with the actions of  $\mathbf{Q}(\zeta_q)$ . Since

$$\dim(\Omega^1(Z)) = \dim(Z) = \frac{\varphi(q)}{2},$$

the linear operator in  $\Omega^1(Z)$  induced by  $\zeta_q \in \mathbf{Q}(\zeta_q)$  has, at most,  $\varphi(q)/2$  distinct eigenvalues. It follows that the linear operator in  $\Omega^1(Z^{n-1}) = \Omega^1(Z)^{n-1}$  induced by  $\zeta_q$  also has, at most,  $\varphi(q)/2$  distinct eigenvalues. This implies that the linear operator  $\delta_q^*$  in  $\Omega^1((J^{(f,q)}))$  also has, at most,  $\varphi(q)/2$  distinct eigenvalues. Recall that the eigenvalues of  $\delta_q^*$  are primitive  $q$ th roots of unity  $\zeta^{-i}$  with

$$1 \leq i < q, (i, p) = 1, \left[ \frac{ni}{q} \right] > 0.$$

Clearly, the inequality  $[ni/q] > 0$  means that  $i > q/n$ , since  $(n, q) = (n, p^r) = 1$ . So, in order to get a desired contradiction, it suffices to check that the cardinality of the set

$$B := \left\{ i \in \mathbf{Z} \mid \frac{q}{n} < i < q = p^r, (i, p) = 1 \right\}$$

is strictly greater than  $(p-1)p^{r-1}/2$ . Since  $p \geq 2, n \geq 5$  and  $q/n$  is not an integer, we have

$$\frac{p}{n} \leq \frac{p}{5} < \frac{p-1}{2}$$

and

$$\#(B) > \varphi(q) - \frac{q}{n} = (p-1)p^{r-1} - \frac{p^{r-1}p}{n} \geq \left( p-1 - \frac{p}{5} \right) p^{r-1} > \frac{p-1}{2} p^{r-1}.$$

□

**Corollary 4.15.** *Suppose that  $n \geq 5$  is an integer. Let  $p$  be a prime,  $r \geq 1$  an integer and  $q = p^r$ . Assume in addition that either  $p$  does not divide  $n$  or  $q \mid n$  and  $(n, q) \neq (5, 5)$ . Let  $K$  be a field of characteristic different from  $p$ . Let  $f(x) \in K[x]$  be an irreducible separable polynomial of degree  $n$  such that  $\text{Gal}(f) = \mathbf{S}_n$  or  $\mathbf{A}_n$ . Then the image  $\mathcal{O}$  of  $\mathbf{Z}[\delta_q] \rightarrow \text{End}(J^{(f,q)})$  is isomorphic to  $\mathbf{Z}[\zeta_q]$  and enjoys one of the following two properties.*

- (i)  $\mathcal{O}$  is a maximal commutative subring in  $\text{End}(J^{(f,q)})$ ;
- (ii)  $\text{char}(K) > 0$  and the centralizer of  $\mathcal{O} \otimes \mathbf{Q} \cong \mathbf{Q}(\zeta_q)$  in  $\text{End}^0(J^{(f,q)})$  is a central simple  $(n-1)^2$ -dimensional  $\mathbf{Q}(\zeta_q)$ -algebra. In addition,  $J^{(f,q)}$  is an abelian variety of CM-type isogenous to a self-product of an absolutely simple abelian variety.

*Proof.* If  $p$  divides  $n$  then  $n > 5$  and therefore  $n-1 \geq 5$ . By Remark 4.3, we may assume that  $p$  does not divide  $n$ . If we replace  $K$  by  $K(\zeta)$  then still  $\text{Gal}(f) = \mathbf{S}_n$  or  $\mathbf{A}_n$ . By Remark 4.1 if  $\text{Gal}(f) = \mathbf{S}_n$  or  $\mathbf{A}_n$  then the  $\text{Gal}(f)$ -module  $V_{f,p}$  is very simple. One has only to apply Theorem 4.14. □

**Theorem 4.16.** *Suppose  $n \geq 4$  and  $p$  does not divide  $n$ . Assume also that  $\text{char}(K) = 0$  and  $\mathbf{Q}[\delta_q]$  is a maximal commutative subalgebra in  $\text{End}^0(J^{(f,q)})$ . Then  $\text{End}^0(J^{(f,q)}) = \mathbf{Q}[\delta_q] \cong \mathbf{Q}(\zeta_q)$  and therefore  $\text{End}(J^{(f,q)}) = \mathbf{Z}[\delta_q] \cong \mathbf{Z}[\zeta_q]$ . In particular,  $J^{(f,q)}$  is an absolutely simple abelian variety.*

*Proof.* Let  $\mathfrak{C} = \mathfrak{C}_{J^{(f,p)}}$  be the center of  $\text{End}^0(J^{(f,p)})$ . Since  $\mathbf{Q}[\delta_q]$  is a maximal commutative subalgebra,  $\mathfrak{C} \subset \mathbf{Q}[\delta_q]$ .

Replacing, if necessary,  $K$  by its subfield (finitely) generated over  $\mathbf{Q}$  by all the coefficients of  $f$ , we may assume that  $K$  (and therefore  $K_a$ ) is isomorphic to a subfield of  $\mathbf{C}$ . So,  $K \subset K_a \subset \mathbf{C}$ . We may also assume that  $\zeta = \zeta_q$  and consider  $J^{(f,q)}$  as complex abelian variety. Let  $\Sigma = \Sigma_E$  be the set of all field embeddings  $\sigma : E = \mathbf{Q}[\delta_q] \hookrightarrow \mathbf{C}$ . We are going to apply Corollary 2.2 to  $Z = J^{(f,q)}$  and  $E = \mathbf{Q}[\delta_q]$ . In order to do that we need to get some information about the multiplicities  $n_\sigma = n_\sigma(Z, E) = n_\sigma(J^{(f,q)}, \mathbf{Q}[\delta_q])$ . The displayed formula (1) in §2 allows us to do it, using the action of  $\mathbf{Q}[\delta_q]$  on  $\Omega^1(J^{(f,q)})$ . Namely, since  $\delta_q$  generates the field  $E$  (over  $\mathbf{Q}$ ), each  $\Omega^1(J^{(f,q)})_\sigma$  is the eigenspace corresponding to the eigenvalue  $\sigma(\delta_q)$  of  $\delta_q$  and  $n_\sigma$  is the multiplicity of the eigenvalue  $\sigma(\delta_q)$ .

Let  $i < q$  be a positive integer that is not divisible by  $p$  and  $\sigma_i : \mathbf{Q}[\delta_q] \hookrightarrow \mathbf{C}$  be the embedding which sends  $\delta_q$  to  $\zeta^{-i}$ . Clearly, for each  $\sigma$  there exists precisely one  $i$  such that  $\sigma = \sigma_i$ . Clearly,  $\Omega^1(J^{(f,q)})_{\sigma_i}$  is the eigenspace of  $\Omega^1(J^{(f,q)})$  attached to the eigenvalue  $\zeta^{-i}$  of  $\delta_q$ . Therefore  $n_{\sigma_i}$  coincides with the multiplicity of the eigenvalue  $\zeta^{-i}$ . It follows from Remark 4.13 that

$$n_{\sigma_i} = \left[ \frac{ni}{q} \right].$$

Now the assertion of the Theorem follows from Corollary 2.2 applied to  $E = \mathbf{Q}[\delta_q] \cong \mathbf{Q}(\zeta_q)$ .  $\square$

**Theorem 4.17.** *Let  $p$  be a prime,  $r$  a positive integer,  $q = p^r$  and  $K$  a field of characteristic zero. Suppose that  $f(x) \in K[x]$  is an irreducible polynomial of degree  $n \geq 5$  and  $\text{Gal}(f) = \mathbf{S}_n$  or  $\mathbf{A}_n$ . Assume also that either  $p$  does not divide  $n$  or  $q$  divides  $n$ . Then  $\text{End}^0(J^{(f,q)}) = \mathbf{Q}[\delta_q] \cong \mathbf{Q}(\zeta_q)$  and therefore  $\text{End}(J^{(f,q)}) = \mathbf{Z}[\delta_q] \cong \mathbf{Z}[\zeta_q]$ . In particular,  $J^{(f,q)}$  is an absolutely simple abelian variety.*

*Proof.* If  $(n, q) \neq (5, 5)$  then the assertion follows from Corollary 4.15 combined with Corollary 4.16. The case  $(n, q) = (5, 5)$  is contained in [20, theorem 4.2].  $\square$

**Corollary 4.18.** *Let  $p$  be a prime and  $K$  a field of characteristic zero. Suppose that  $f(x) \in K[x]$  is an irreducible polynomial of degree  $n \geq 5$  and  $\text{Gal}(f) = \mathbf{S}_n$  or*

**A<sub>n</sub>.** Let  $r$  and  $s$  be distinct positive integers. Assume also that either  $p$  does not divide  $n$  or both  $p^r$  and  $p^s$  divide  $n$ . Then  $\text{Hom}(J^{(f,p^r)}, J^{(f,p^s)}) = 0$ .

*Proof.* It follows from Theorem 4.17 that  $J^{(f,p^r)}$  and  $J^{(f,p^s)}$  are absolutely simple abelian varieties, whose endomorphism algebras  $\mathbf{Q}(\zeta_{p^r})$  and  $\mathbf{Q}(\zeta_{p^s})$  are not isomorphic. Therefore these abelian varieties are not isogenous. Since they are absolutely simple, every homomorphism between them is zero.  $\square$

Combining Theorem 4.16 and Corollary 4.14, we obtain the following statement.

**Theorem 4.19.** Let  $p$  be a prime,  $r$  a positive integer,  $q = p^r$ . Suppose that  $K$  is a field of characteristic zero containing a primitive  $q$ th root of unity. Let  $f(x) \in K[x]$  be a polynomial of degree  $n \geq 5$ . Assume also that  $p$  does not divide  $n$  and the  $\text{Gal}(f)$ -module  $V_{f,p}$  is very simple. Then  $\text{End}^0(J^{(f,q)}) = \mathbf{Q}[\delta_q] \cong \mathbf{Q}(\zeta_q)$  and therefore  $\text{End}(J^{(f,q)}) = \mathbf{Z}[\delta_q] \cong \mathbf{Z}[\zeta_q]$ . In particular,  $J^{(f,q)}$  is an absolutely simple abelian variety.

**Corollary 4.20.** Let  $p$  be a prime, and  $K$  a field of characteristic zero. Let  $f(x) \in K[x]$  be a polynomial of degree  $n \geq 5$ . Assume also that  $p$  does not divide  $n$  and the  $\text{Gal}(f)$ -module  $V_{f,p}$  is very simple. If  $r$  and  $s$  are distinct positive integers such that  $K$  contains primitive  $p^r$ th and  $p^s$ th roots of unity then  $\text{Hom}(J^{(f,p^r)}, J^{(f,p^s)}) = 0$ .

*Proof.* It follows from Theorem 4.19 that  $J^{(f,p^r)}$  and  $J^{(f,p^s)}$  are absolutely simple abelian varieties, whose endomorphism algebras  $\mathbf{Q}(\zeta_{p^r})$  and  $\mathbf{Q}(\zeta_{p^s})$  are not isomorphic. Therefore these abelian varieties are not isogenous. Since they are absolutely simple, every homomorphism between them is zero.  $\square$

## 5. JACOBIANS AND THEIR ENDOMORPHISM RINGS

Throughout this section we assume that  $K$  is a field of characteristic zero. Recall that  $K_a$  is an algebraic closure of  $K$  and  $\zeta \in K_a$  is a primitive  $q$ th root of unity. Suppose  $f(x) \in K[x]$  is a polynomial of degree  $n \geq 5$  without multiple roots,  $\mathfrak{R}_f \subset K_a$  is the set of its roots,  $K(\mathfrak{R}_f)$  is its splitting field. Let us put  $\text{Gal}(f) = \text{Gal}(K(\mathfrak{R}_f)/K) \subset \text{Perm}(\mathfrak{R}_f)$ . Let  $r$  be a positive integer. Recall (Corollary 4.12) that if  $p$  does not divide  $n$  then there is a  $K(\zeta_{p^r})$ -isogeny  $J(C_{f,p^r}) \rightarrow \prod_{i=1}^r J^{(f,p^i)}$ . Applying Theorem 4.19 and Corollary 4.20 to all  $q = p^i$ , we obtain the following assertion.

**Theorem 5.1.** Let  $p$  be a prime,  $r$  a positive integer,  $q = p^r$ . Suppose that  $K$  is a field of characteristic zero containing a primitive  $p^r$ th root of unity. Let

$f(x) \in K[x]$  be an polynomial of degree  $n \geq 5$ . Assume also that  $p$  does not divide  $n$  and the  $\text{Gal}(f)$ -module  $V_{f,p}$  is very simple. Then  $\text{End}^0(J(C_{f,q})) = \mathbf{Q}[\delta_q] \cong \mathbf{Q}[t]/\mathcal{P}_q(t)\mathbf{Q}[t] = \prod_{i=1}^r \mathbf{Q}(\zeta_{p^i})$ .

The next statement obviously generalizes Theorem 1.1.

**Theorem 5.2.** *Let  $p$  be a prime,  $r$  a positive integer and  $K$  a field of characteristic zero. Suppose that  $f(x) \in K[x]$  is an irreducible polynomial of degree  $n \geq 5$  and  $\text{Gal}(f) = \mathbf{S}_n$  or  $\mathbf{A}_n$ . Assume also that either  $p$  does not divide  $n$  or  $q \mid n$ . Then  $\text{End}^0(J(C_{f,q})) = \mathbf{Q}[\delta_q] \cong \mathbf{Q}[t]/\mathcal{P}_q(t)\mathbf{Q}[t] = \prod_{i=1}^r \mathbf{Q}(\zeta_{p^i})$ .*

*Proof.* The existence of the isogeny  $J(C_{f,q}) \rightarrow \prod_{i=1}^r J^{(f,p^i)}$  combined with Theorem 4.17 and Corollary 4.18 implies that the assertion holds true if  $p$  does not divide  $n$ . If  $q$  divides  $n$  then Remark 4.3 allows us to reduce this case to the already proven case when  $p$  does not divide  $n - 1$ .  $\square$

**Example 5.3.** Suppose  $L = \mathbf{C}(z_1, \dots, z_n)$  is the field of rational functions in  $n$  independent variables  $z_1, \dots, z_n$  with constant field  $\mathbf{C}$  and  $K = L^{\mathbf{S}_n}$  is the subfield of symmetric functions. Then  $K_a = L_a$  and  $f(x) = \prod_{i=1}^n (x - z_i) \in K[x]$  is an irreducible polynomial over  $K$  with Galois group  $\mathbf{S}_n$ . Let  $q = p^r$  be a power of a prime  $p$ . Let  $C$  be a smooth projective model of the  $K$ -curve  $y^q = f(x)$  and  $J(C)$  its jacobian. It follows from Theorem 5.2 that if  $n \geq 5$  and either  $p$  does not divide  $n$  or  $q$  divides  $n$  then the algebra of  $L_a$ -endomorphisms of  $J(C)$  is  $\prod_{i=1}^r \mathbf{Q}(\zeta_{p^i})$ .

**Example 5.4.** Let  $h(x) \in \mathbf{C}[x]$  be a Morse polynomial of degree  $n \geq 5$ . This means that the derivative  $h'(x)$  of  $h(x)$  has  $n - 1$  distinct roots  $\beta_1, \dots, \beta_{n-1}$  and  $h(\beta_i) \neq h(\beta_j)$  while  $i \neq j$ . (For example,  $x^n - x$  is a Morse polynomial.) If  $K = \mathbf{C}(z)$  then a theorem of Hilbert ([11, theorem 4.4.5, p. 41]) asserts that the Galois group of  $h(x) - z$  over  $K$  is  $\mathbf{S}_n$ . Let  $q = p^r$  be a power of a prime  $p$ . Let  $C$  be a smooth projective model of the  $K$ -curve  $y^q = h(x) - z$  and  $J(C)$  its jacobian. It follows from Theorem 5.2 that if either  $p$  does not divide  $n$  or  $q$  divides  $n$  then the algebra of  $K_a$ -endomorphisms of  $J(C)$  is  $\prod_{i=1}^r \mathbf{Q}(\zeta_{p^i})$ .

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